

# 新しい回折格子 Novel gratings

海老塚 昇<sup>1</sup>, 堀 勝<sup>1</sup>, 佐々木 実<sup>2</sup>, 平原 靖大<sup>3</sup>,  
Andrea Bianco<sup>4</sup>, Filippo Maria Zerbi<sup>4</sup>, 青木 和光<sup>5</sup>

<sup>1</sup>名古屋大学 プラズマナノ工学研究センター,

<sup>2</sup>豊田工業大学 工学部, <sup>3</sup>名古屋大学 環境学研究科,

<sup>4</sup>INAF Osservatorio Astronomico di Brera, Italy,

<sup>5</sup>国立天文台 光赤外研究部

# Volume Phase Holographic Grating



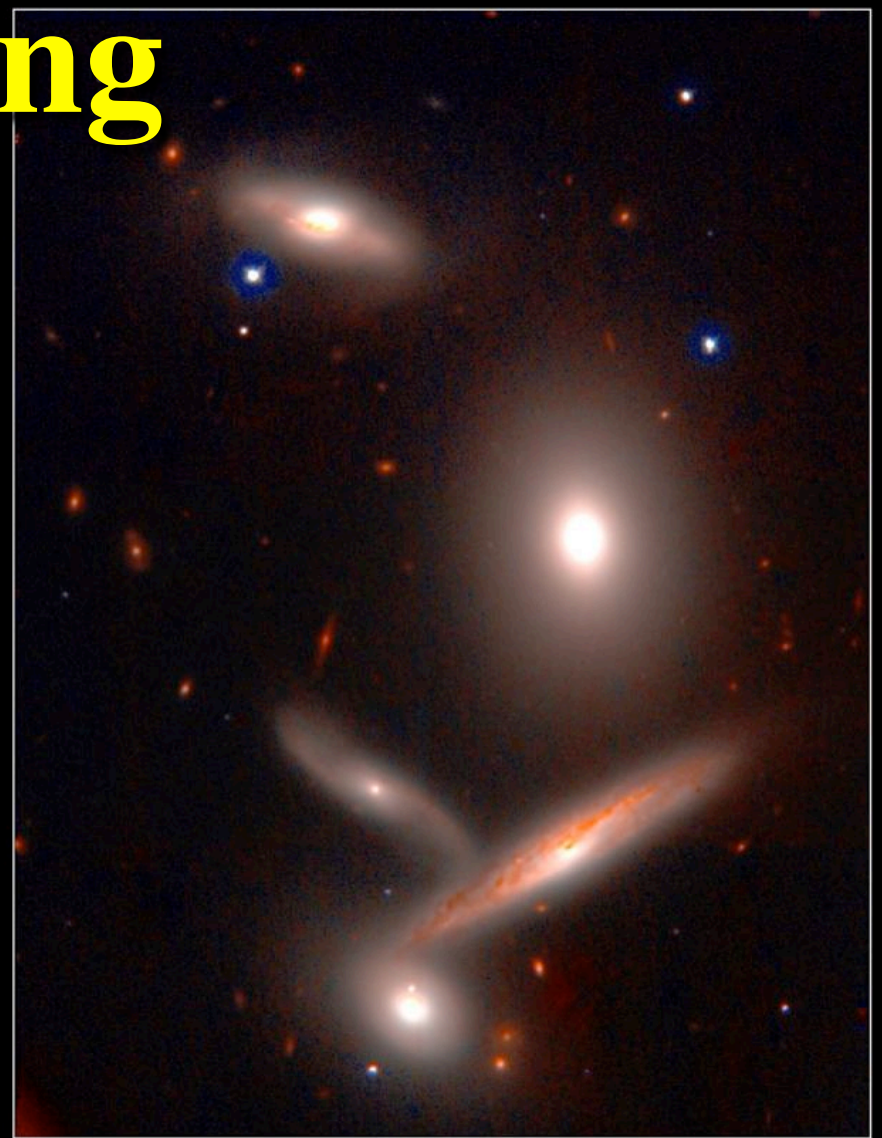
**M 82 (NGC 3034)**

Subaru Telescope, National Astronomical Observatory of Japan

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FOCAS (B, V, H $\alpha$ )

March 24, 2000



**Hickson Compact Group 40**

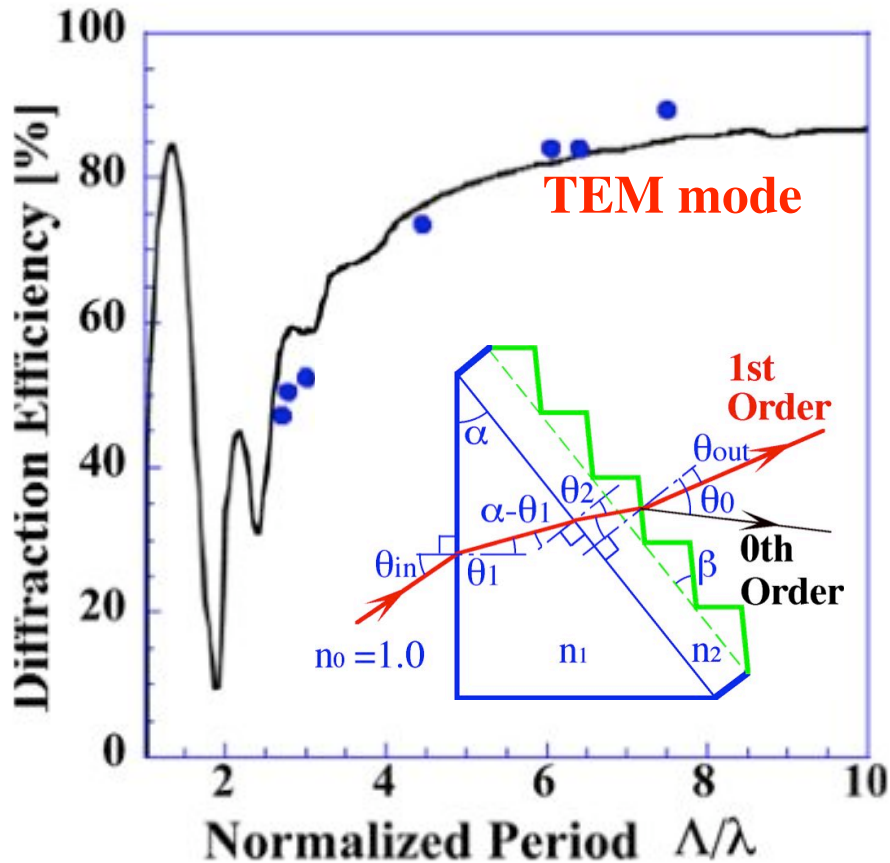
Subaru Telescope, National Astronomical Observatory of Japan

CISCO (J & K')

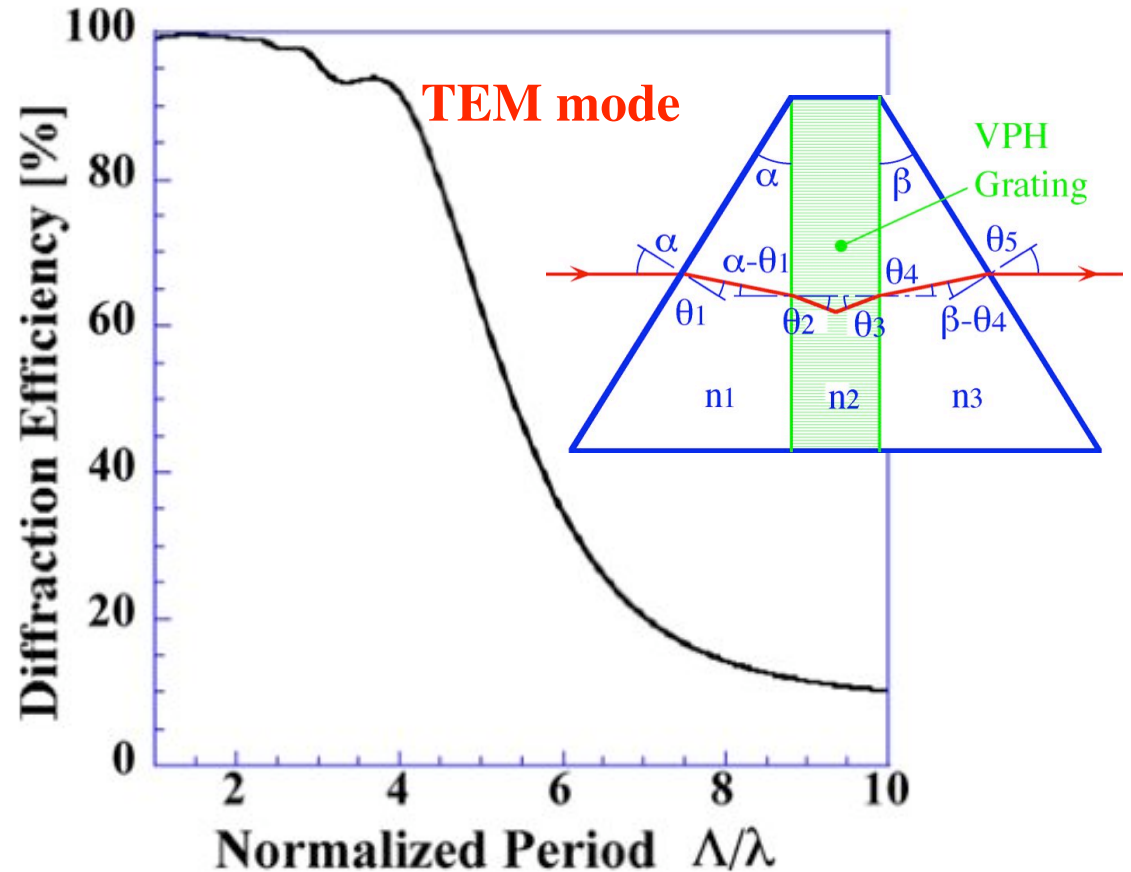
January 28, 1999



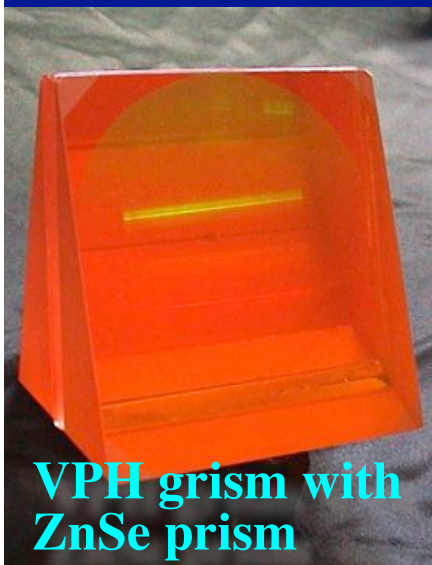
# Efficiencies of gratings



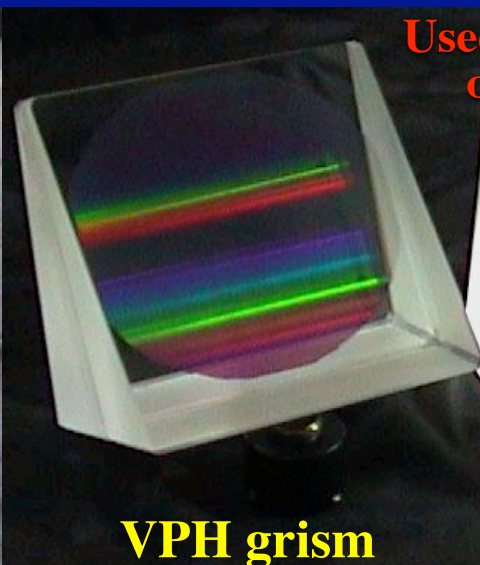
Surface relief grating:  
Efficiency decreases  
steeply below  $4 \Delta/\lambda$ .



VPH (Volume Phase Holographic) grating ( $\Delta n \sim 0.02$ ): Efficiency achieves up to 100% below  $4 \Delta/\lambda$ .

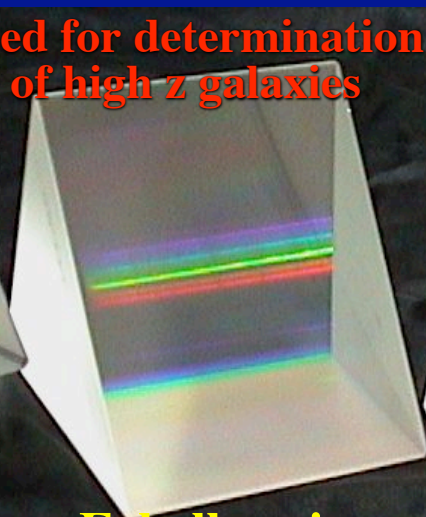


VPH grism with ZnSe prism

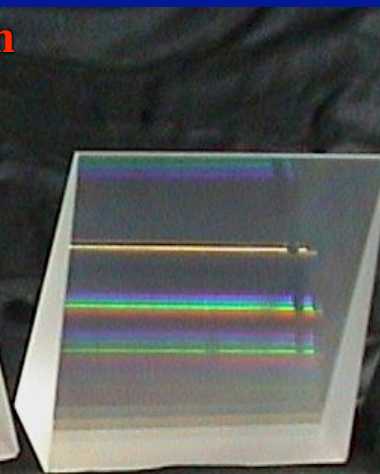


VPH grism

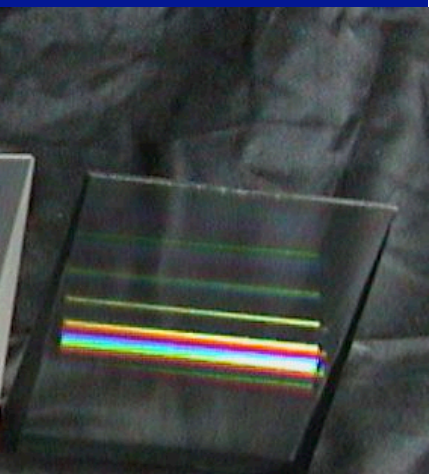
Used for determination of high  $z$  galaxies



Echelle grism



Surface relief grism



# Grisms for FOCAS

Size:  $110 \times 106 \times 106$  (max).

4 SR grisms:  $300 < R < 1,400$ .

1 Echelle grism:  $R \sim 2,500$ .

8 VPH grisms (3 grisms with ZnSe prisms):  $1,600 < R < 7,000$ ,

Developed by in collaboration with Japan Women's Univ.,

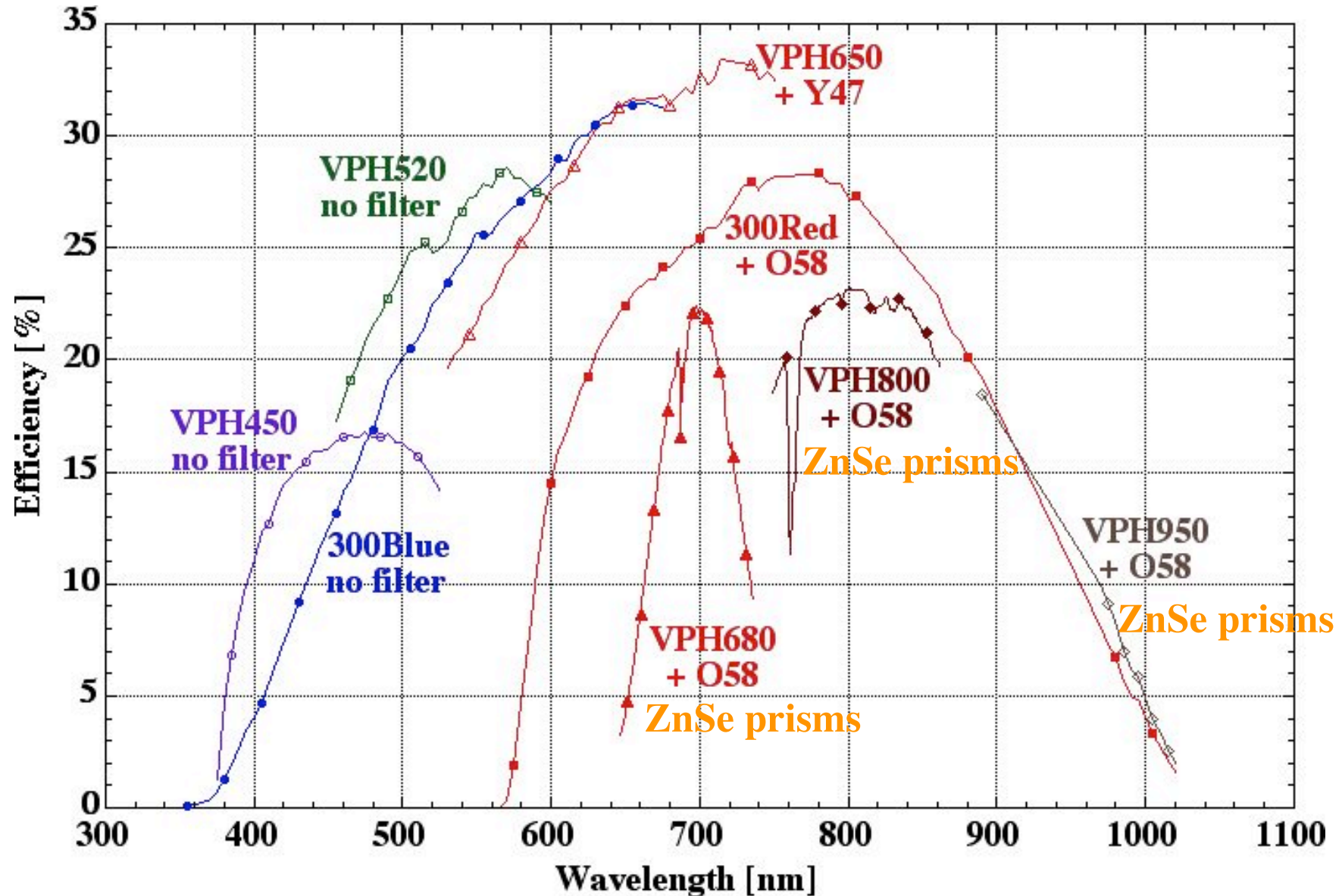
NAOJ and RIKEN.



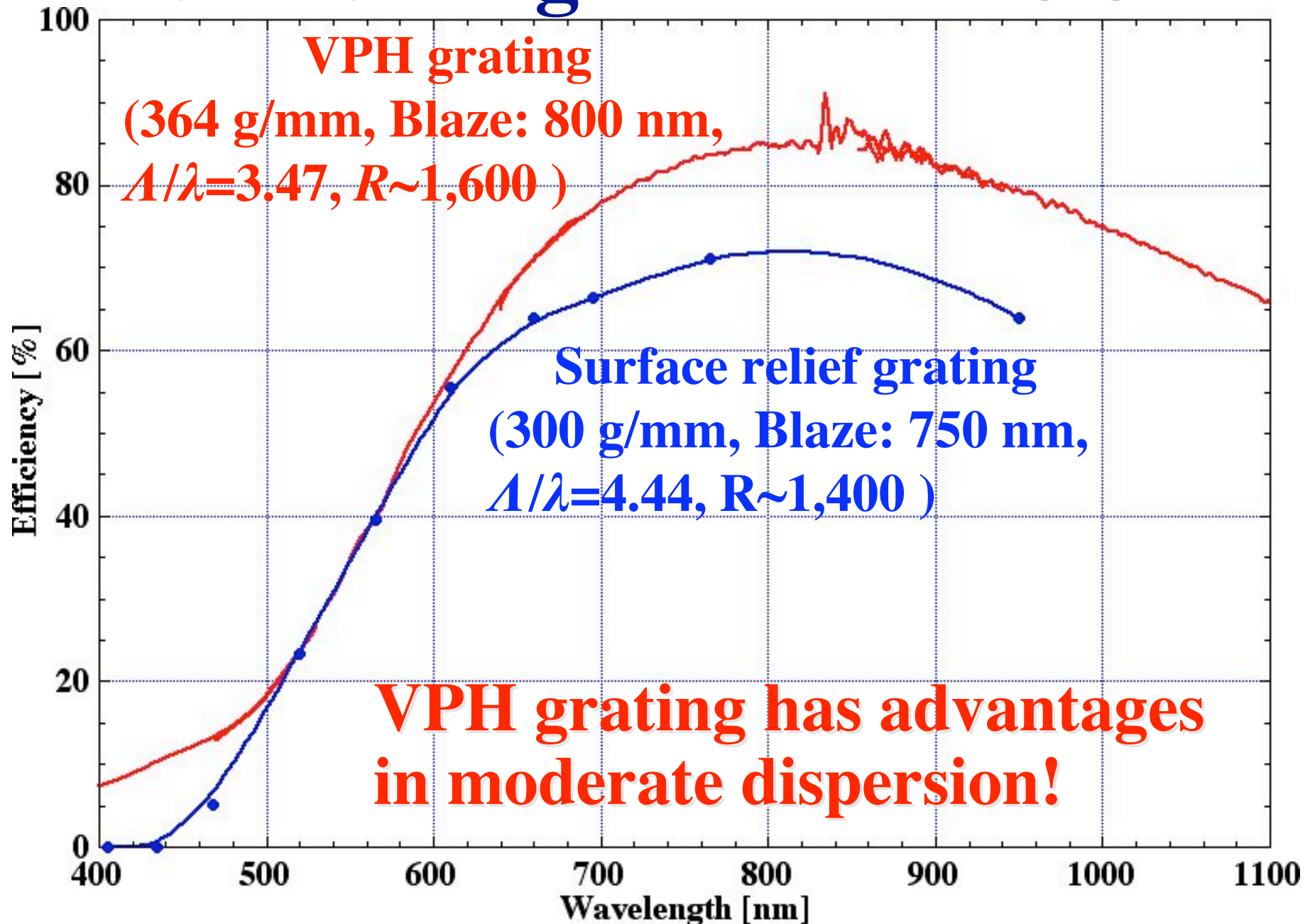
(Ebizuka et. al. PASJ, 63, 2011a)



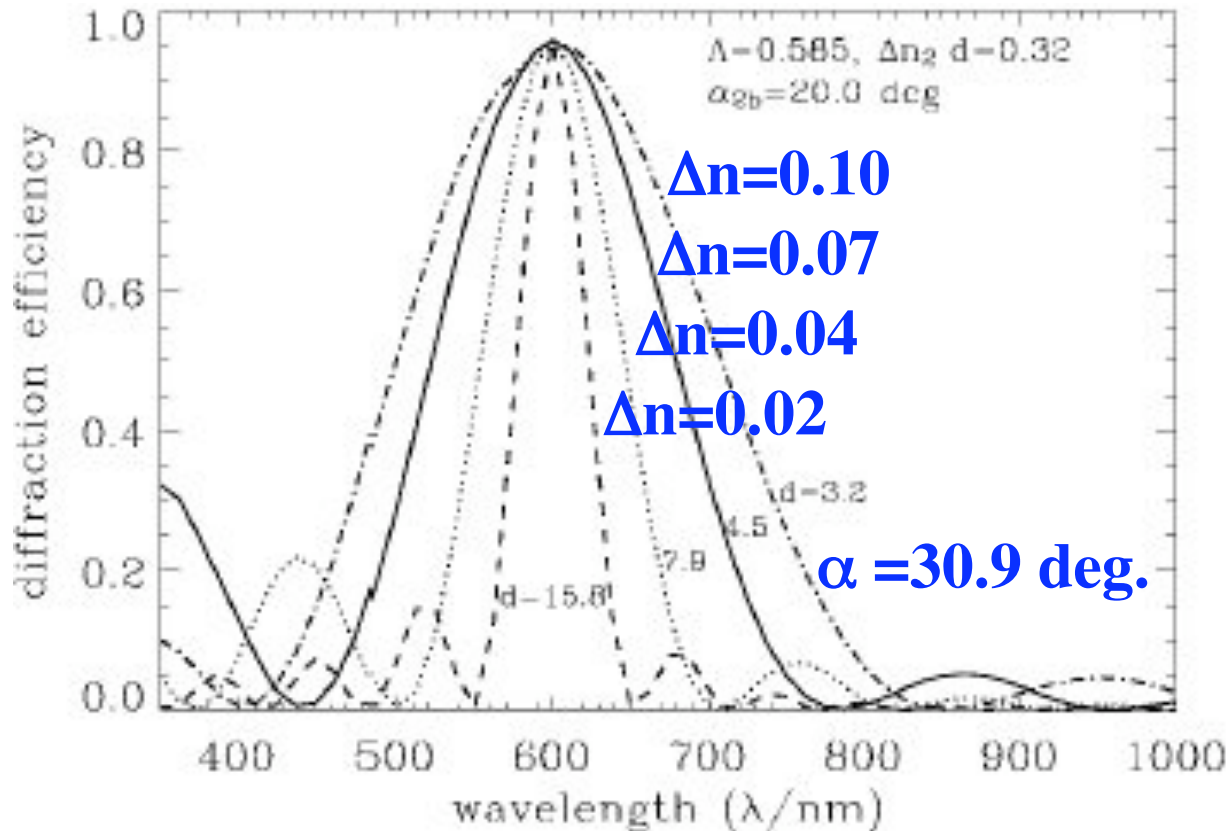
# Relative efficiencies of gratings within Subaru Telescope and FOCAS



# New VPH gratings for FOCAS

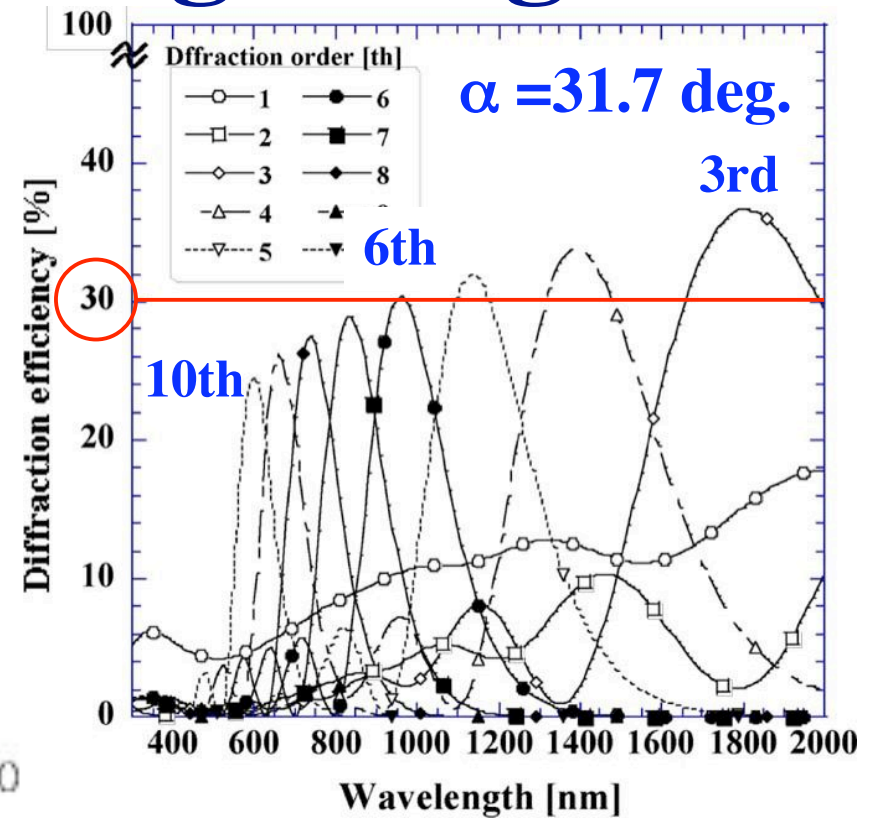


# Limitations of VPH grating



**Band width of VPH grating becomes narrow in diffraction angle:  $\alpha$  increase because semi-amplitude of index modulation of dichromated gelatin (DCG) is  $\Delta n < 0.15$ .**

(Baldry et al., PASP, 116, 2004)



**Diffraction efficiency of VPH grating decrease toward higher orders.**

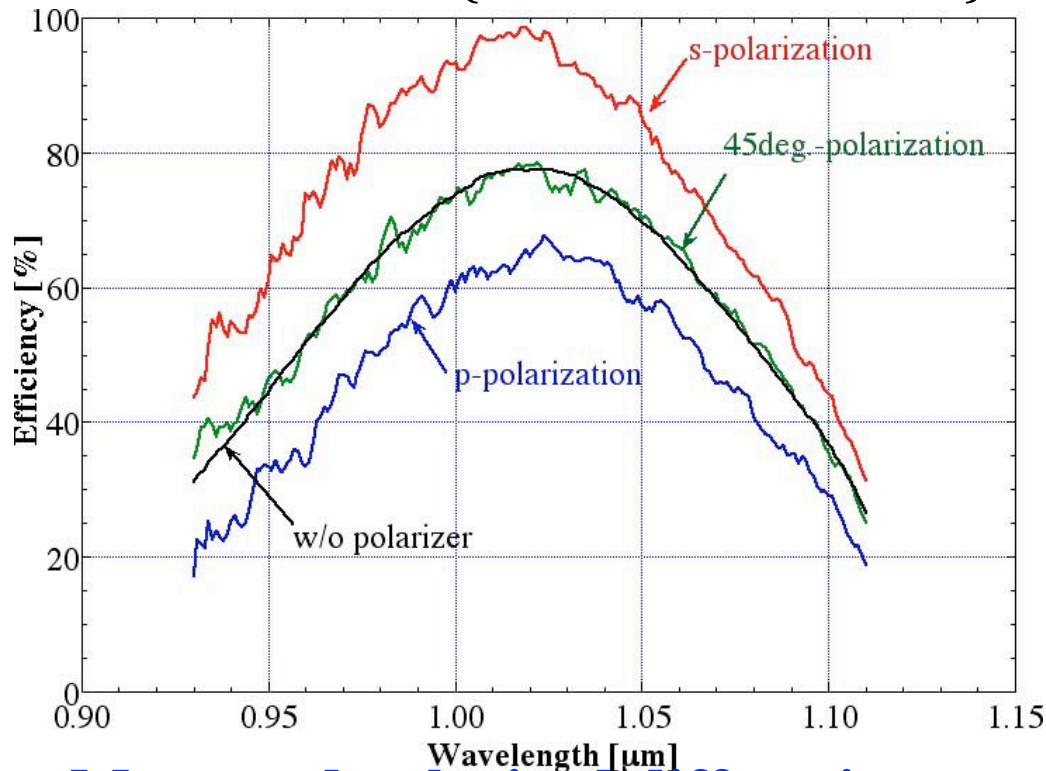
(Oka et. al., SPIE, 5290, 2004)



# Polarized diffraction efficiency of VPH grating

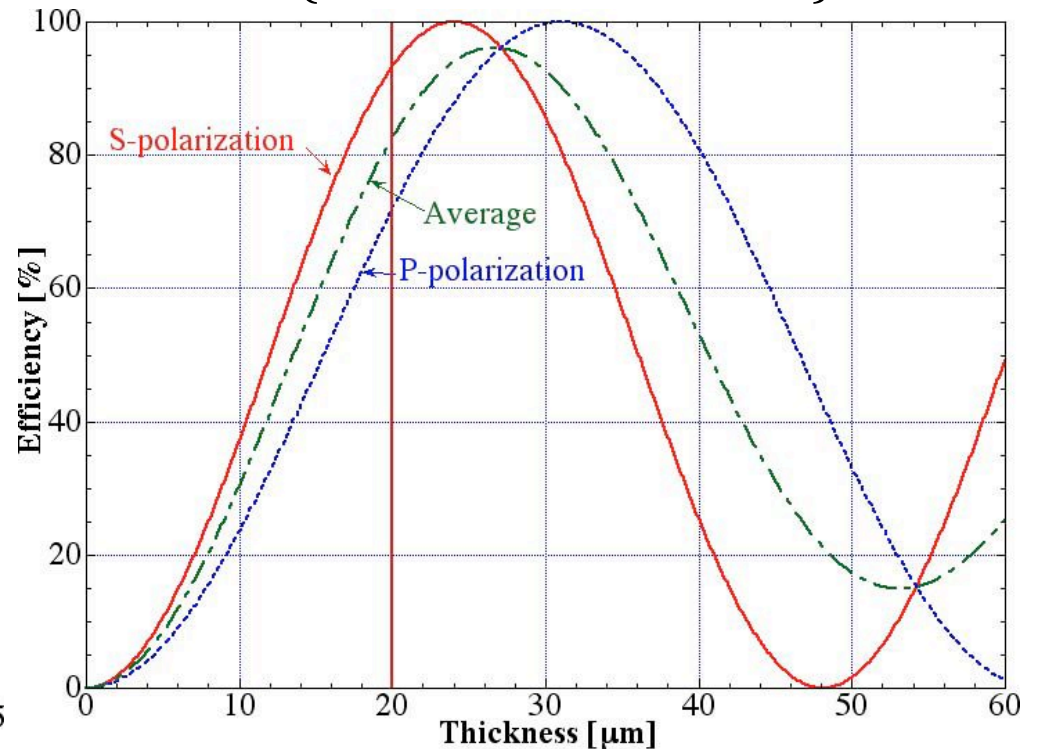
$$\eta_S = \sin^2 \left\{ \frac{\pi(n_{\max} - n_{\min})t}{\Lambda(n_{\max} + n_{\min})\sin 2\theta} \right\}$$

$$\eta_P = \sin^2 \left\{ \frac{\pi(n_{\max} - n_{\min})t \cos 2\theta}{\Lambda(n_{\max} + n_{\min})\sin 2\theta} \right\}$$



**Measured polarized diffraction efficiencies of VPH grating.**

$n_{\text{ave}} = (n_{\text{max}} - n_{\text{min}})/2 = 1.53,$   
 $\Lambda = 0.984 \mu\text{m}, t = 20 \mu\text{m},$   
 $\theta = 19.8^\circ @ 1.02 \mu\text{m}.$



**Calculated polarization diffraction efficiencies vs.  $t$  of a VPH grating.**

$\Delta n = (n_{\text{max}} - n_{\text{min}})/2 = 0.017$

(Ebizuka et. al. PASJ, **63**, 2011b)





# Volume Binary Grating



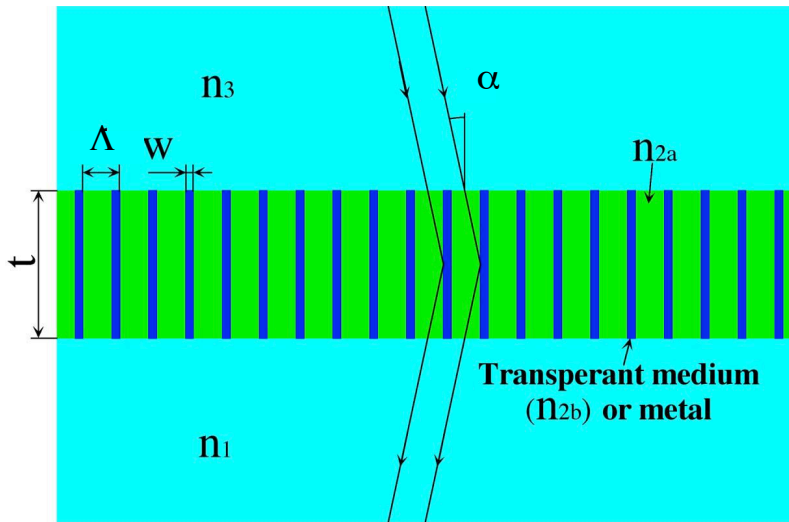
**IC 434 (Horse-head Nebula)**

Ultra-high-sensitivity HDTV I.I. color camera (NHK)  
Exp. 22 sec. (11 frames coadded) January 16, 1999

**Subaru Telescope, National Astronomical Observatory of Japan**

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# Volume binary grating



- $\Delta n = (n_{\max} - n_{\min})/2 \sim 0.5$ .
- Polarized diffraction efficiencies of **S** and **P** polarization coincide with each other by tuning of  $f$  and  $t$ . While aspect ratio becomes  $t : w = 1:20 \sim 100$ .

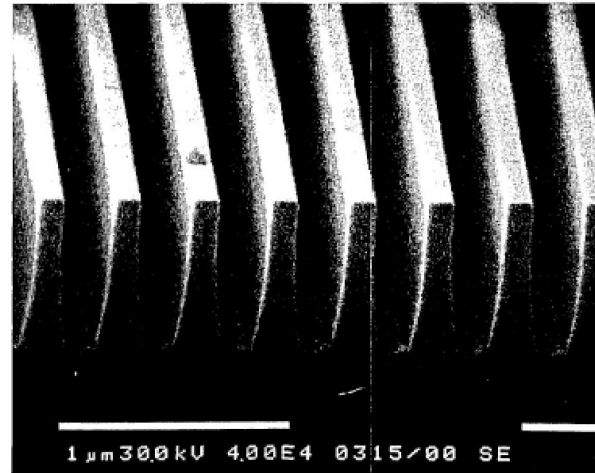


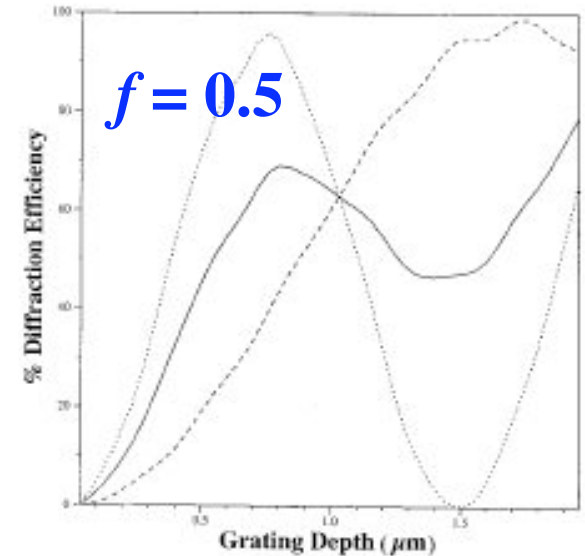
Fig. 1. Scanning electron micrograph of grating lines etched into quartz substrate ( $n_s = 1.46$ ).

(Gerritsen, Jepsen:  
Appl. Opt., 37,1998)

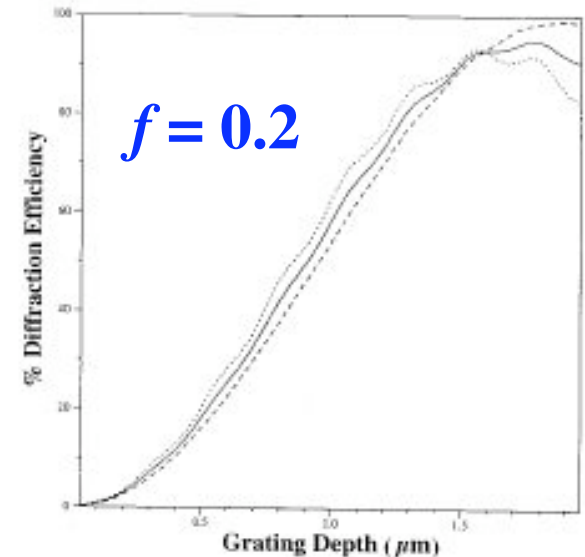
Filling factor:  $f = w/\Lambda$

$n_H = 1.46$ ,  $n_L = 1.0$ ,  
 $\alpha = 45$  deg.

(Gupt & Peng, Appl. Opt., 32, 1993)



(a)

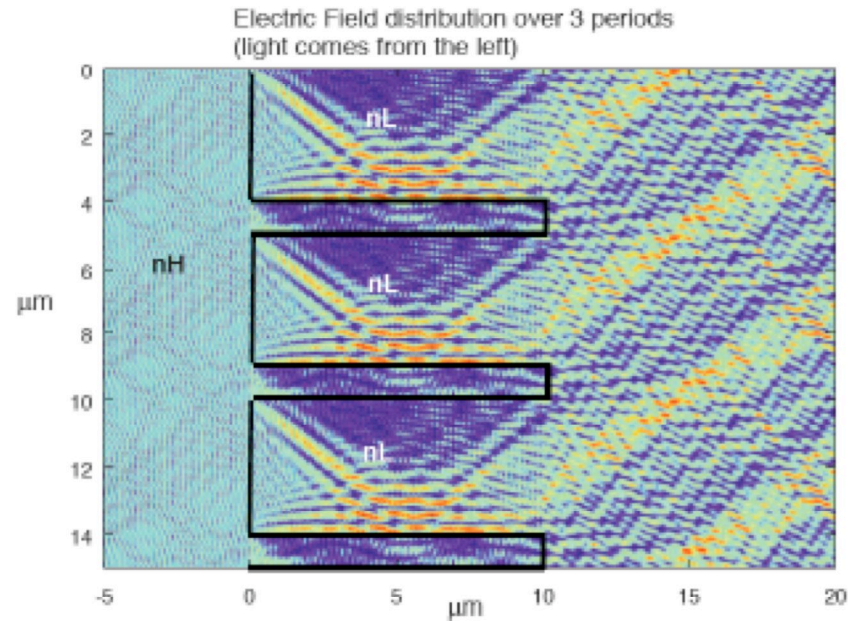


(b)

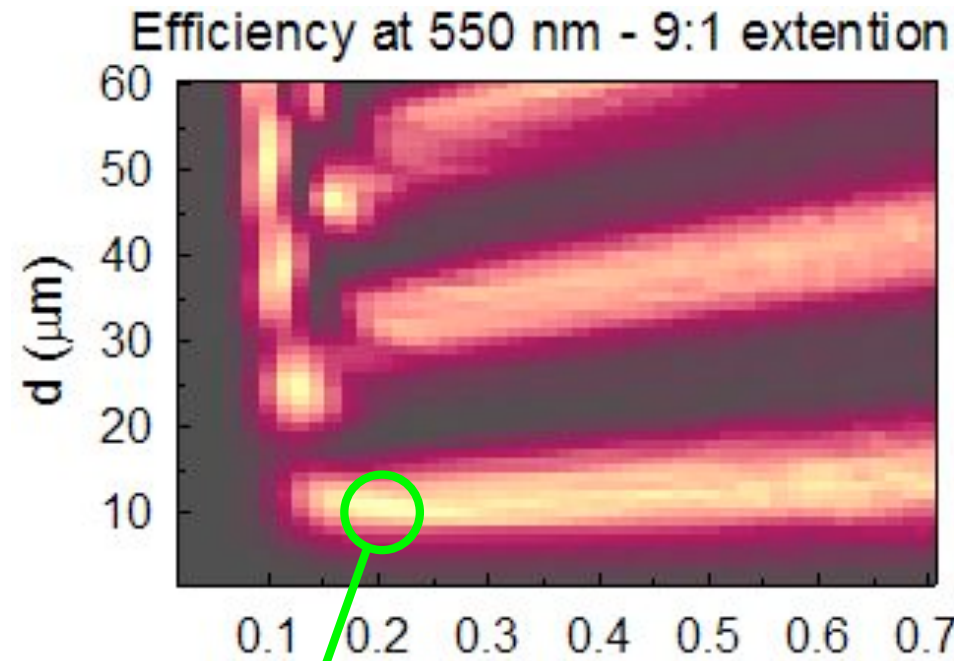
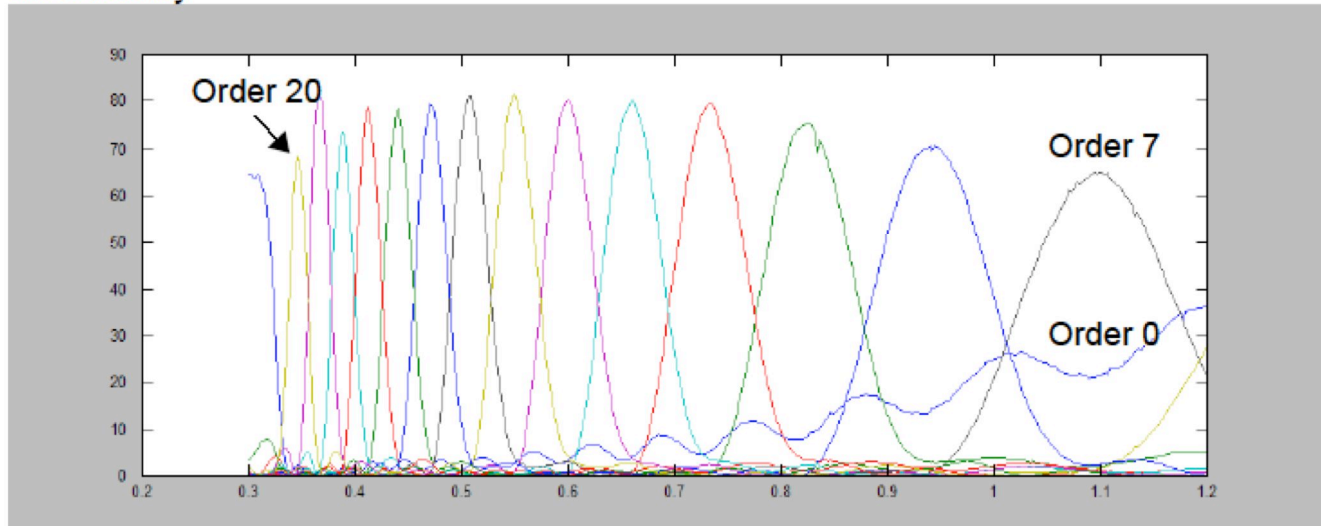
Fig. 5. (a) First-order diffraction efficiencies for a grating with  $\lambda = 0.55 \mu\text{m}$ ,  $\Lambda = 0.3889 \mu\text{m}$ ,  $\theta_p = 45^\circ$ ,  $n = 1.50$ , and  $f = 0.50$ . (b) First-order diffraction efficiencies for a grating with  $\lambda = 0.55 \mu\text{m}$ ,  $\Lambda = 0.3889 \mu\text{m}$ ,  $\theta_p = 45^\circ$ ,  $n = 1.50$ , and  $f = 0.80$ .



# Volume binary grating for higher diffraction orders



$\lambda = 0.55 \mu\text{m}$ ,  $\alpha = 20.44^\circ (= 41.3^\circ \text{ in air})$ ,  $n_H = 1.89$ ,  $n_L = 1.46$ ,  $d = 10 \mu\text{m}$   
 Configuration 1: ratio 9:1,  $d = 11 \mu\text{m}$ ,  $\Delta n = 0.19$   
 TE efficiency

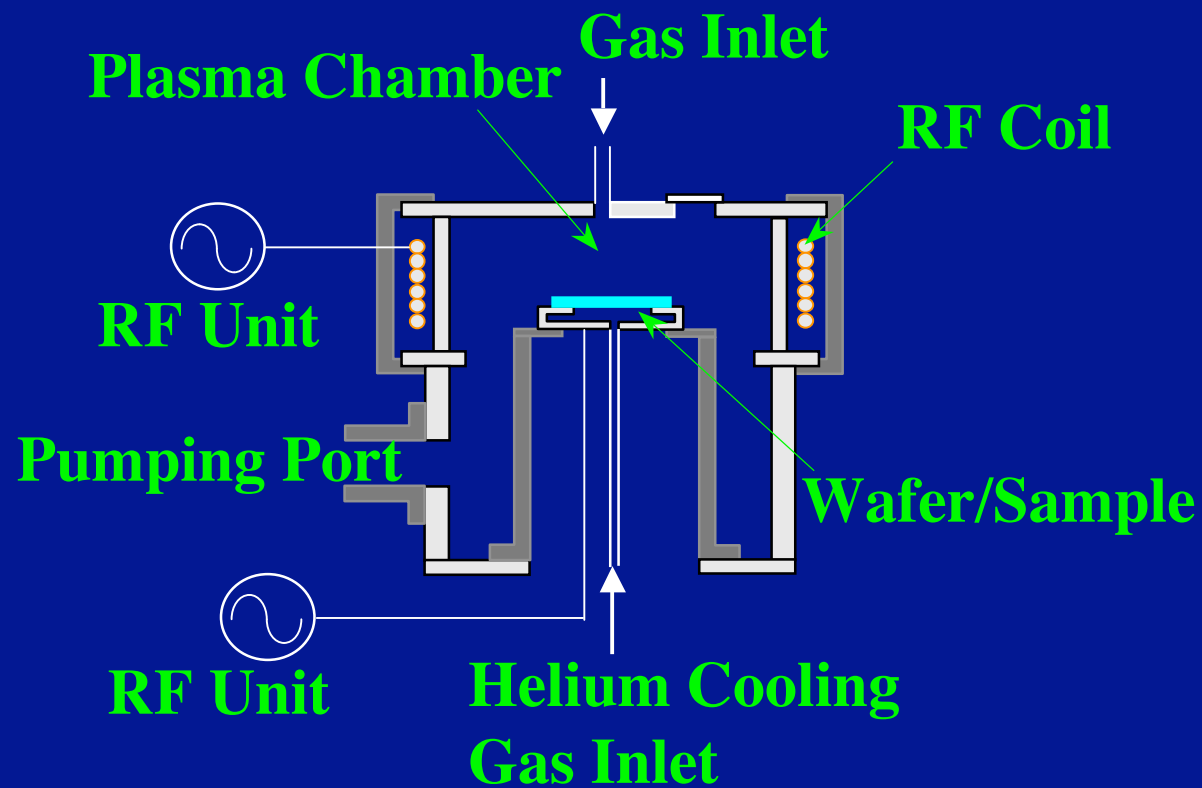


$\Delta n$   
 $n_H = 1.89$ ,  $n_L = 1.46$ ,  
 $\alpha = 41.3^\circ$ ,  $f = 0.1$

**$t:w = 1:22$**

(Bianco & Ebizuka,  
 SPIE, **8450**, 2012)

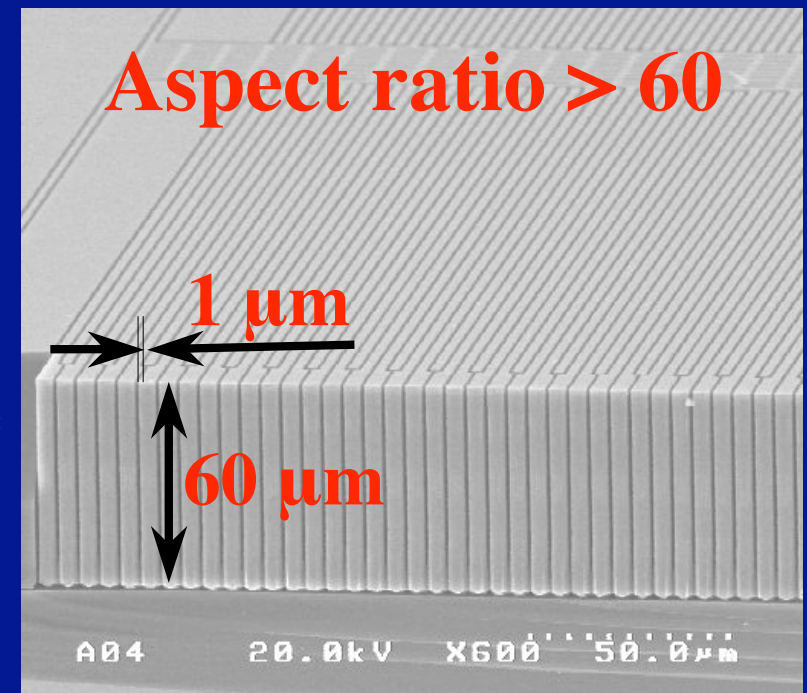
# D-RIE (Deep Reactive Ion Etching)



ICP Etcher

Inductively Coupled Plasma

**DENSO**



G sensor of capacitance type

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Filling dielectric → Volume binary grating,  
Oblique etching → Novel immersion grating for vis. – NIR.



# Birefringence Volume Grating



**M 82 (NGC 3034)**

Subaru Telescope, National Astronomical Observatory of Japan

**FOCAS (B, V, H $\alpha$ )**

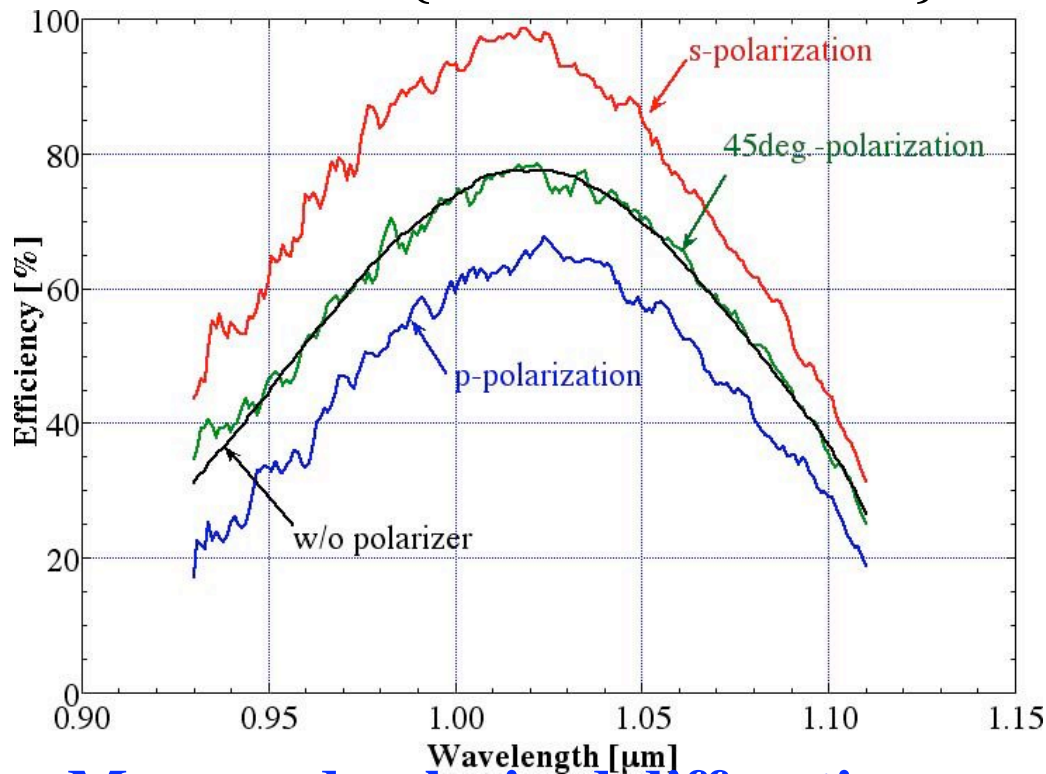
March 24, 2000

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# Polarized diffraction efficiency of VPH grating

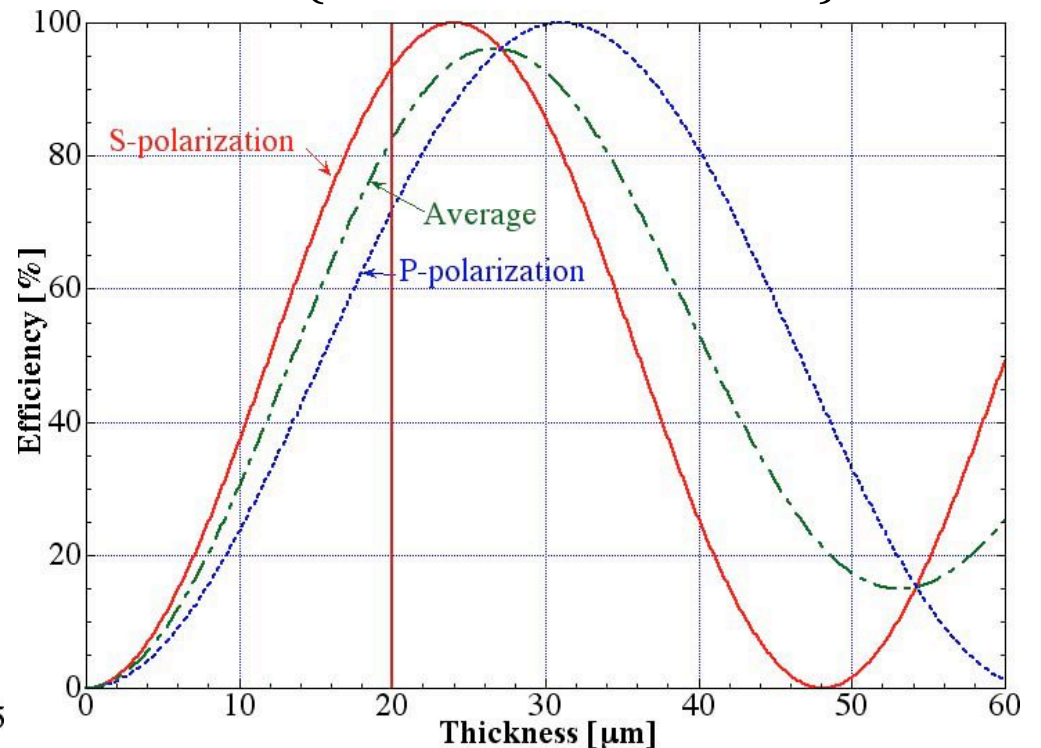
$$\eta_s = \sin^2 \left\{ \frac{\pi(n_{\max} - n_{\min})t}{\Lambda(n_{\max} + n_{\min})\sin 2\theta} \right\}$$

$$\eta_p = \sin^2 \left\{ \frac{\pi(n_{\max} - n_{\min})t \cos 2\theta}{\Lambda(n_{\max} + n_{\min})\sin 2\theta} \right\}$$



## Measured polarized diffraction efficiencies of a prototype VPH grating for a MOIRCS grism.

Average refractive index:  $n=1.53$ , grating period:  $\Lambda=0.984$  mm and thickness:  $t = 20$   $\mu\text{m}$ .  
Bragg angle:  $\theta = 19.8$  degree at  $\lambda=1.02$   $\mu\text{m}$ .



## Calculated polarization diffraction efficiencies versus $t$ of a VPH grating with refractive index modulation: $\Delta n=0.017$ .

(Ebizuka et. al. PASJ, **63**, 2011b)



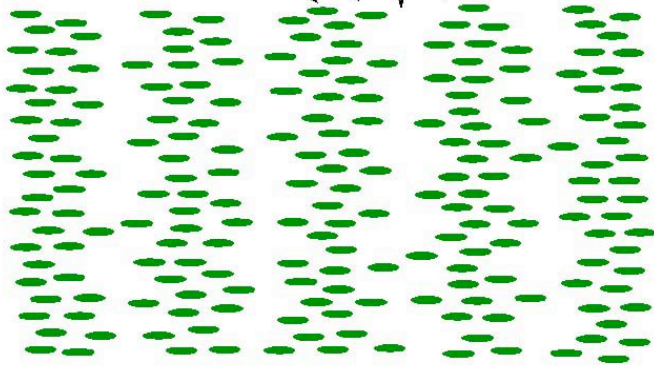
# Birefringence VPH grating

Amplitude of two beams

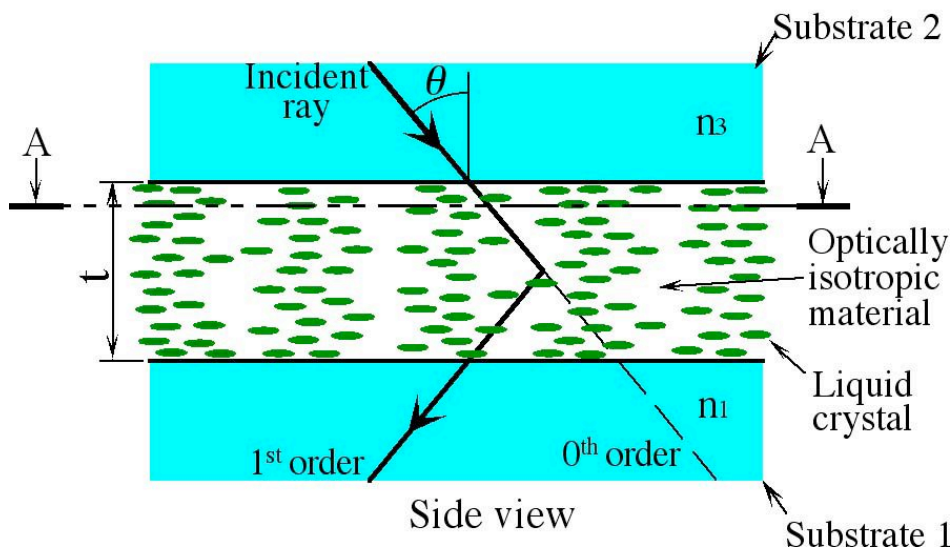


Retardation  $\delta = 0 \quad \pi/2 \quad \pi \quad 3\pi/2 \quad 2\pi$

Amplitude of interferogram



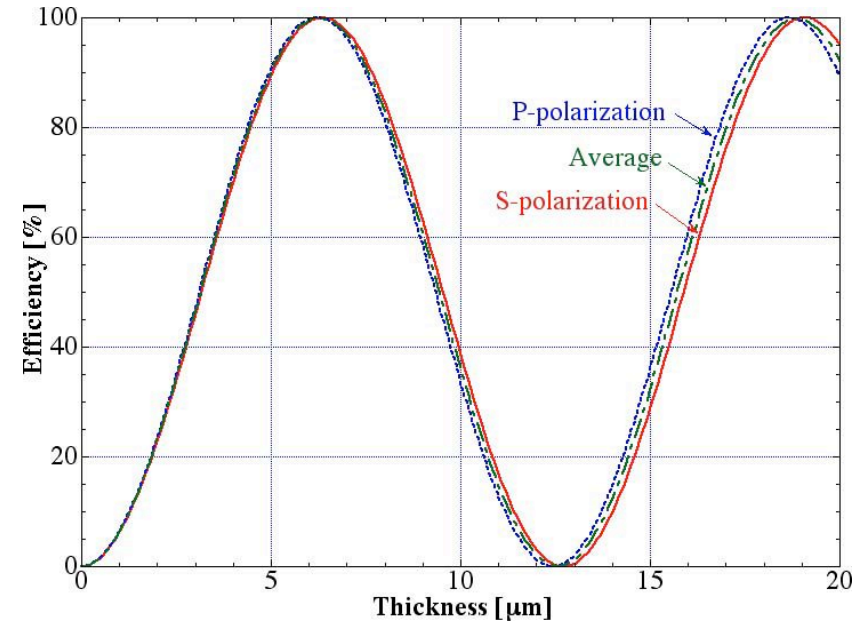
$\Lambda$  Cross section: A-A



$$\frac{n_{S\max} - n_{S\min}}{(n_{S\max} + n_{S\min})\sin 2\theta_S} = \frac{(n_{P\max} - n_{P\min})\cos 2\theta_P}{(n_{P\max} + n_{P\min})\sin 2\theta_P}$$

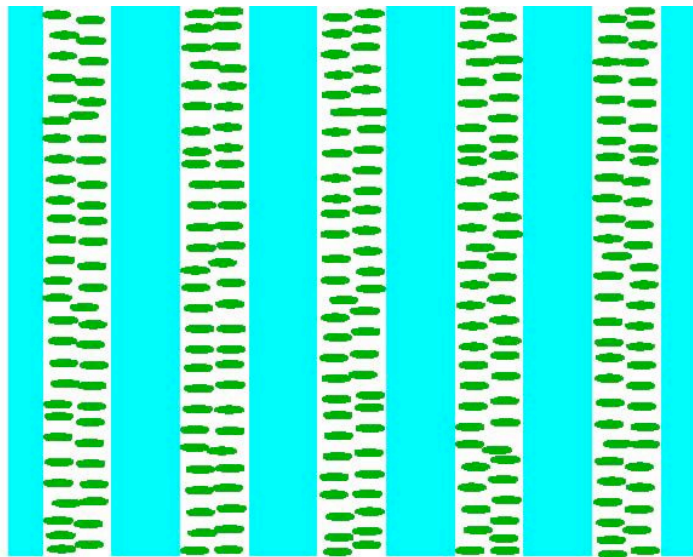
$$\frac{n_{S\max} - n_{S\min}}{(n_{S\max} + n_{S\min}) \cdot 2\sin\theta_S \cos\theta_S} = \frac{(n_{P\max} - n_{P\min})\cos 2\theta_P}{(n_{P\max} + n_{P\min}) \cdot 2\sin\theta_P \cos\theta_P}$$

$$\frac{n_{S\max} - n_{S\min}}{\cos\theta_S} \cong \frac{(n_{P\max} - n_{P\min})\cos 2\theta_P}{\cos\theta_P}$$



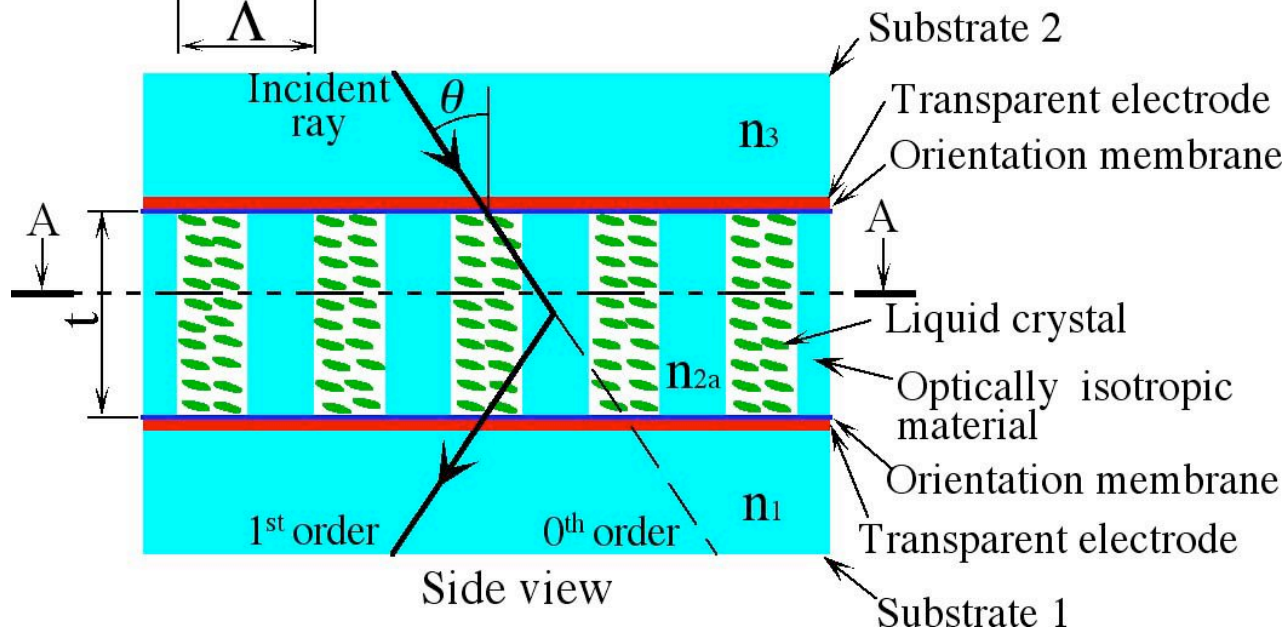
**Calculated polarization diffraction efficiencies versus grating thickness  $t$  of birefringence VPH grating.**

# Birefringence binary Bragg (3B) grating



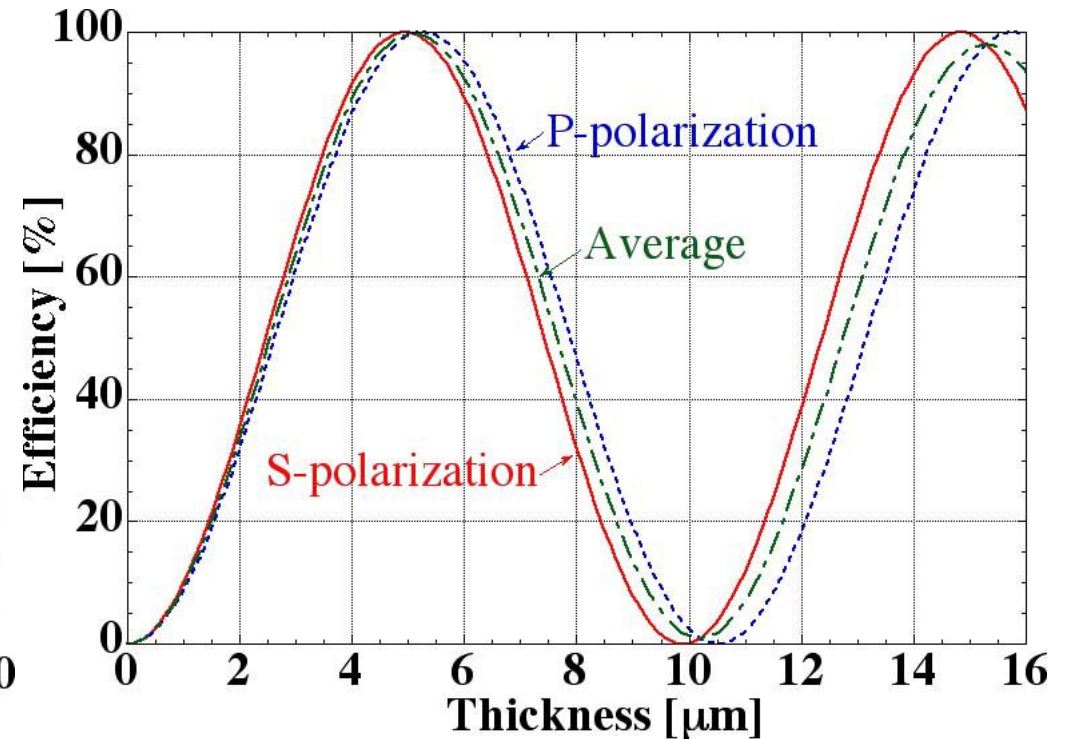
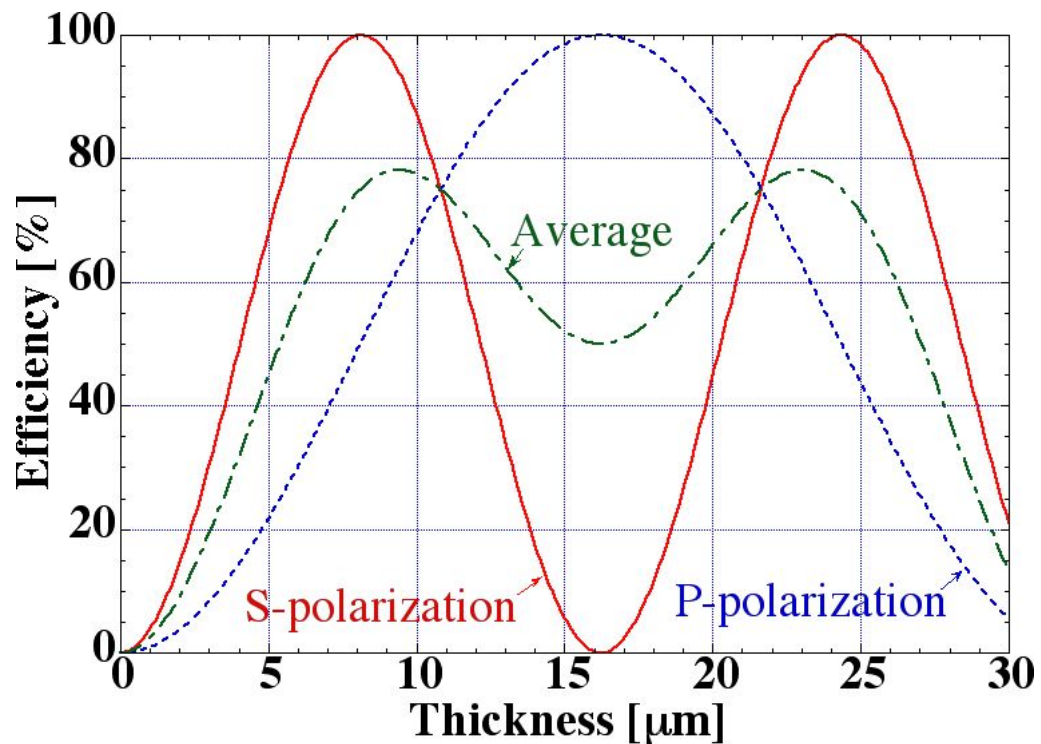
## Echellegram

High diffraction efficiency  
in higher diffraction order.



Active optical element:  
Window  $\rightarrow$  Grating,  
Grating  $\rightarrow$  Polarizer,  
Day lighting, Head-up  
display, 3D display,  
Optical communications  
& computing, ...

# Polarized Diffraction Efficiency of VPH and 3B Grating



**Dicson's VPH grating (Polarizer)**  
 calculated by **Kogelnik** method.  
 $n_L = 1.46$ ,  $n_H = 1.54$ ,  $\theta_B = 48.5^\circ$ .

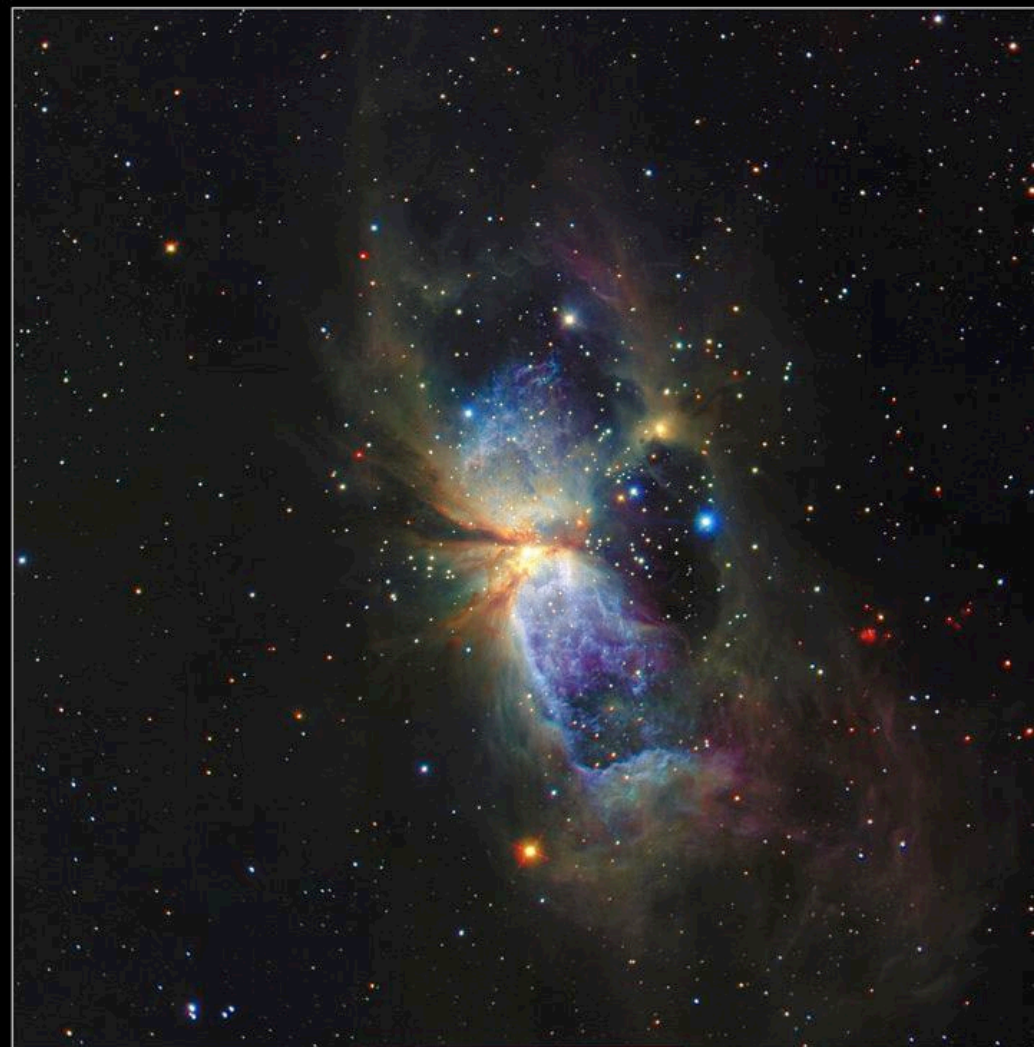
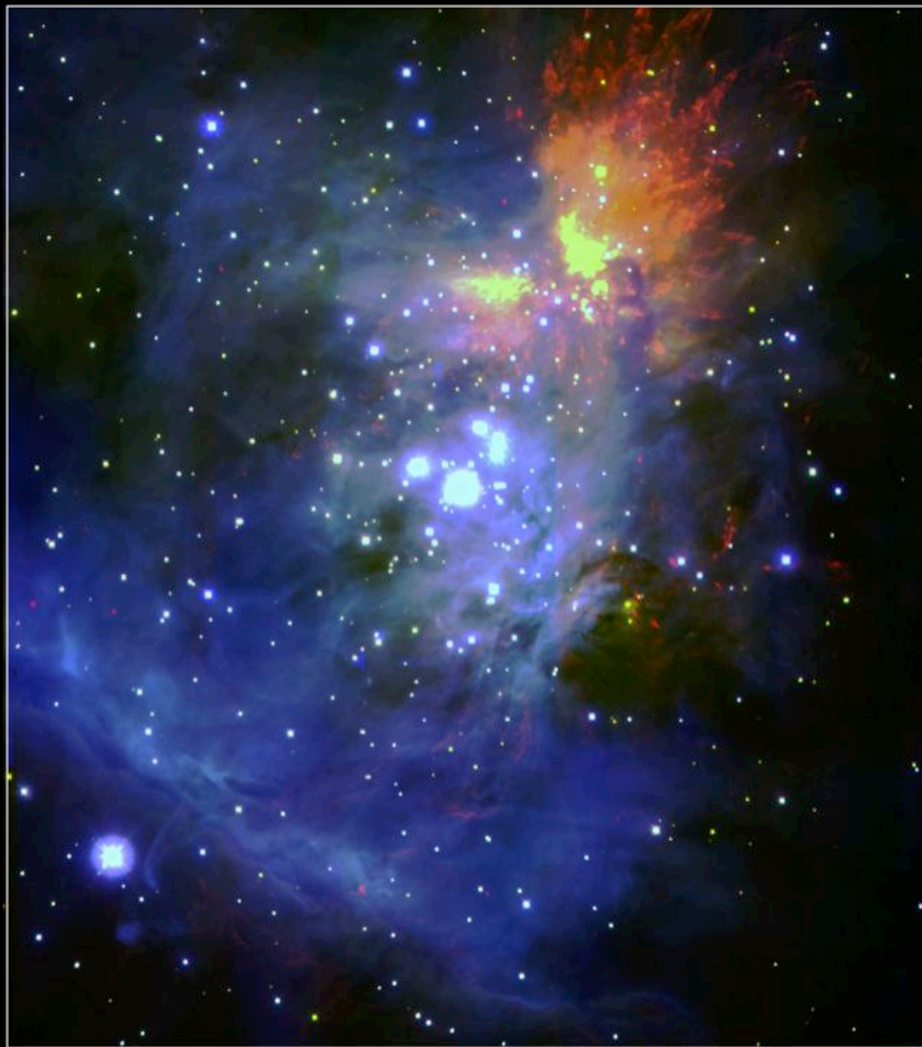
**3B grating calculated by RCWA.**  
 $n_L = 1.46$ ,  $n_s = 1.544$ ,  $n_p = 1.60$ ,  $\theta_B = 45^\circ$ .

**$w:t = 1:20 \sim 100 \rightarrow 1:4 \sim 20$**

(Ebizuka et. al. SPIE 8450, 2012)



# Immersion Grating



**Orion Nebula**

Subaru Telescope, National Astronomical Observatory of Japan

CISCO (J, K' & H<sub>2</sub> ( $v=1-0$  S(1)))

January 28, 1999



**Star-forming Region S106 IRS4**

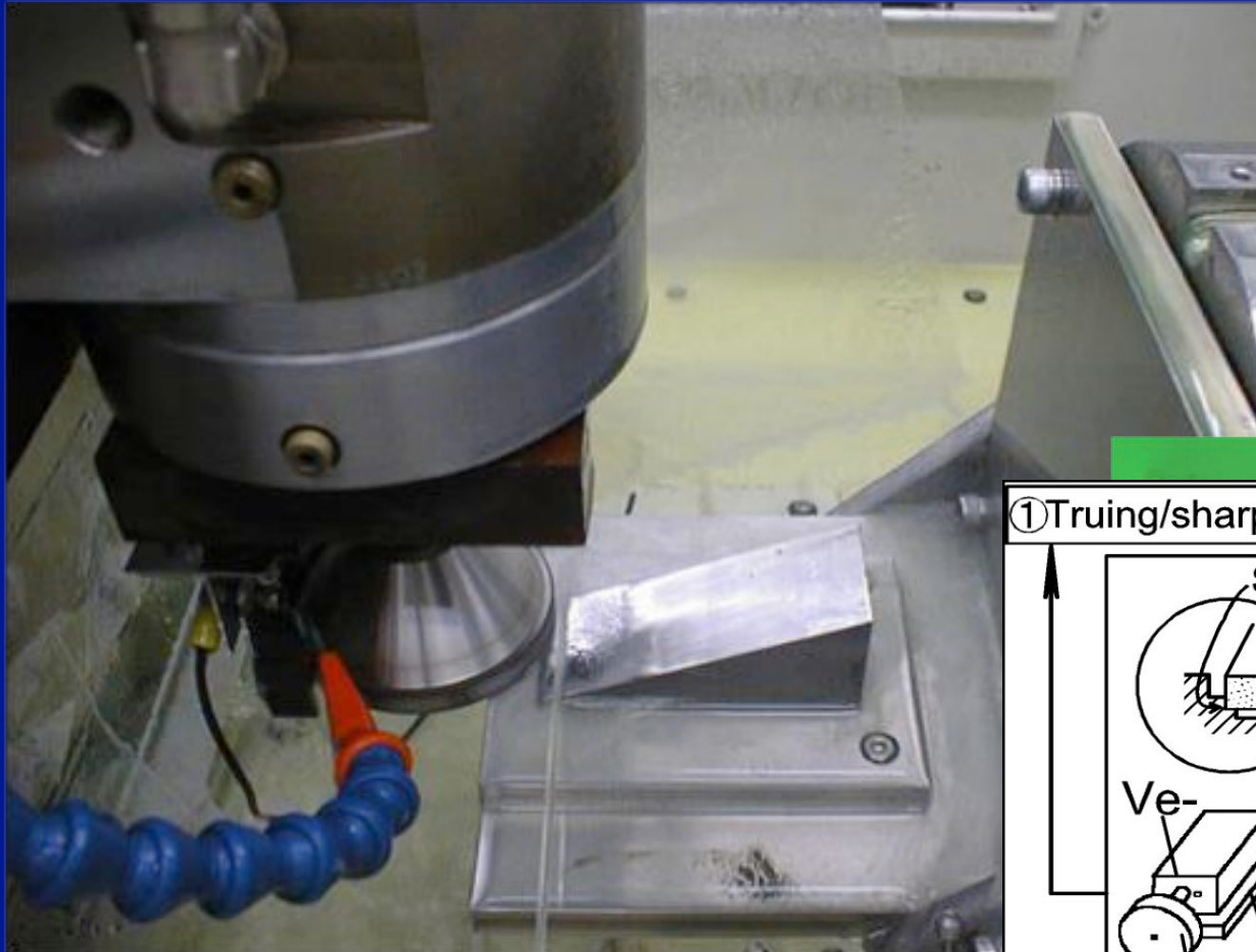
Subaru Telescope, National Astronomical Observatory of Japan

CISCO (J, H, K')

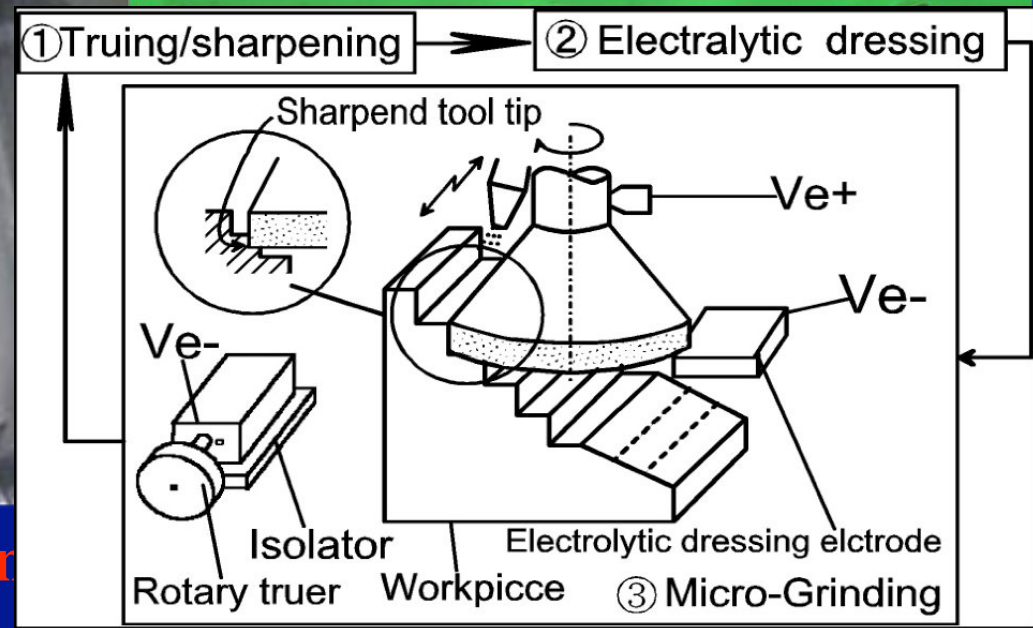
February 13, 2001

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# Ge immersion grating for GIGMICS



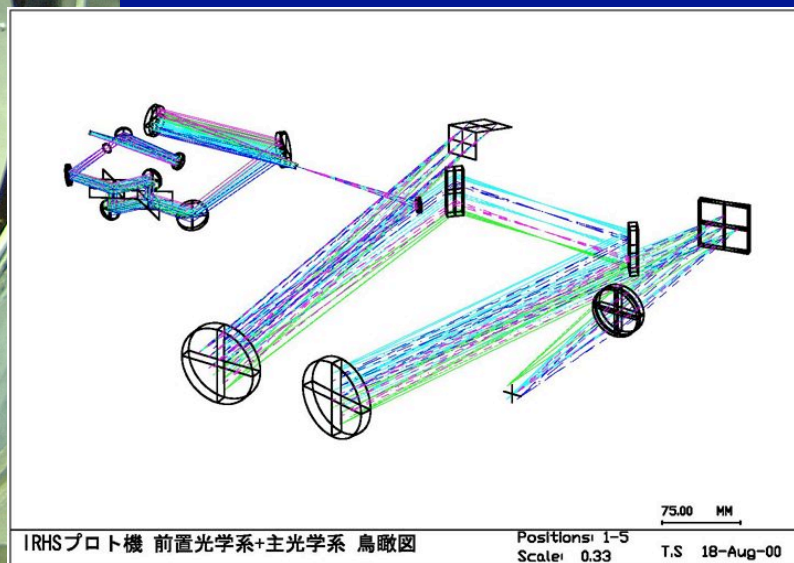
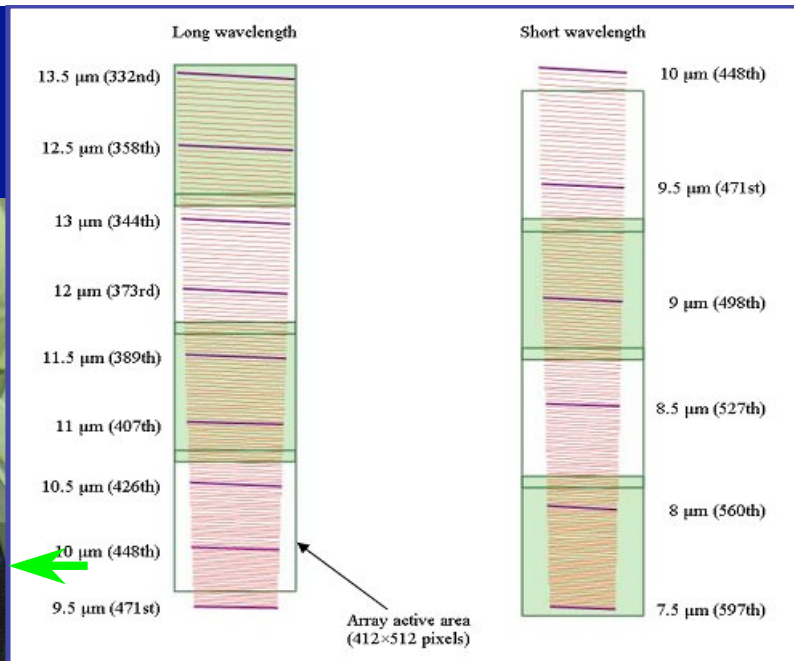
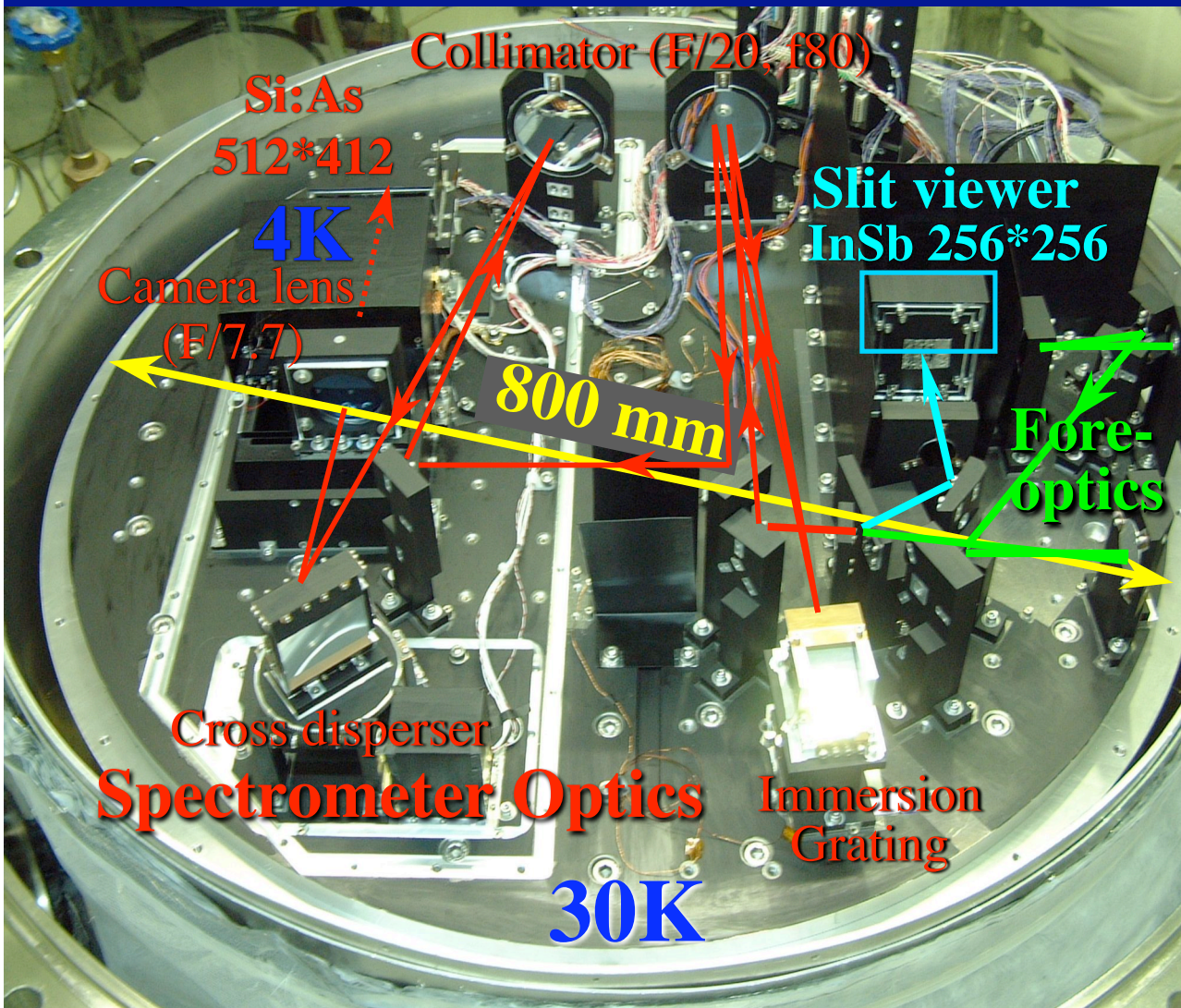
**Nano-precision machine and ELID grinding method.**  
**30 × 30 × 72 [mm],**  
 **$\alpha = 68.75^\circ$ ,  $\Lambda = 600\mu\text{m}$**



**Spent about 400 hours for fabrication**  
(Ebizuka et. al. SPIE, 4842, 2003b)



# GIGMICS



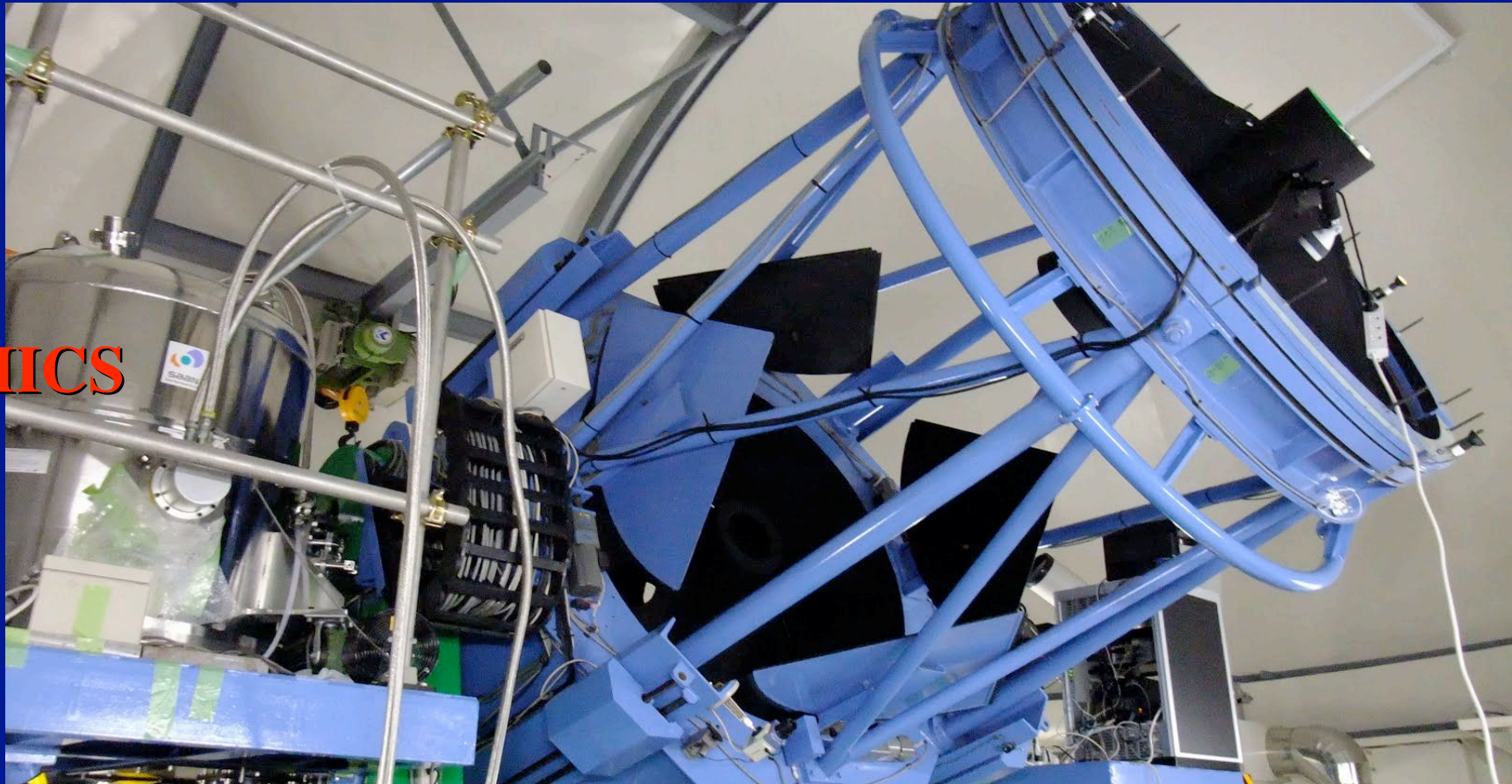
**R~ 50,000@10μm, developed by Hirahara lab., Nagoya Univ.**

(Hirahara et. al., SPIE, 7735, 2010)



# First Light Observation of GIGMICS

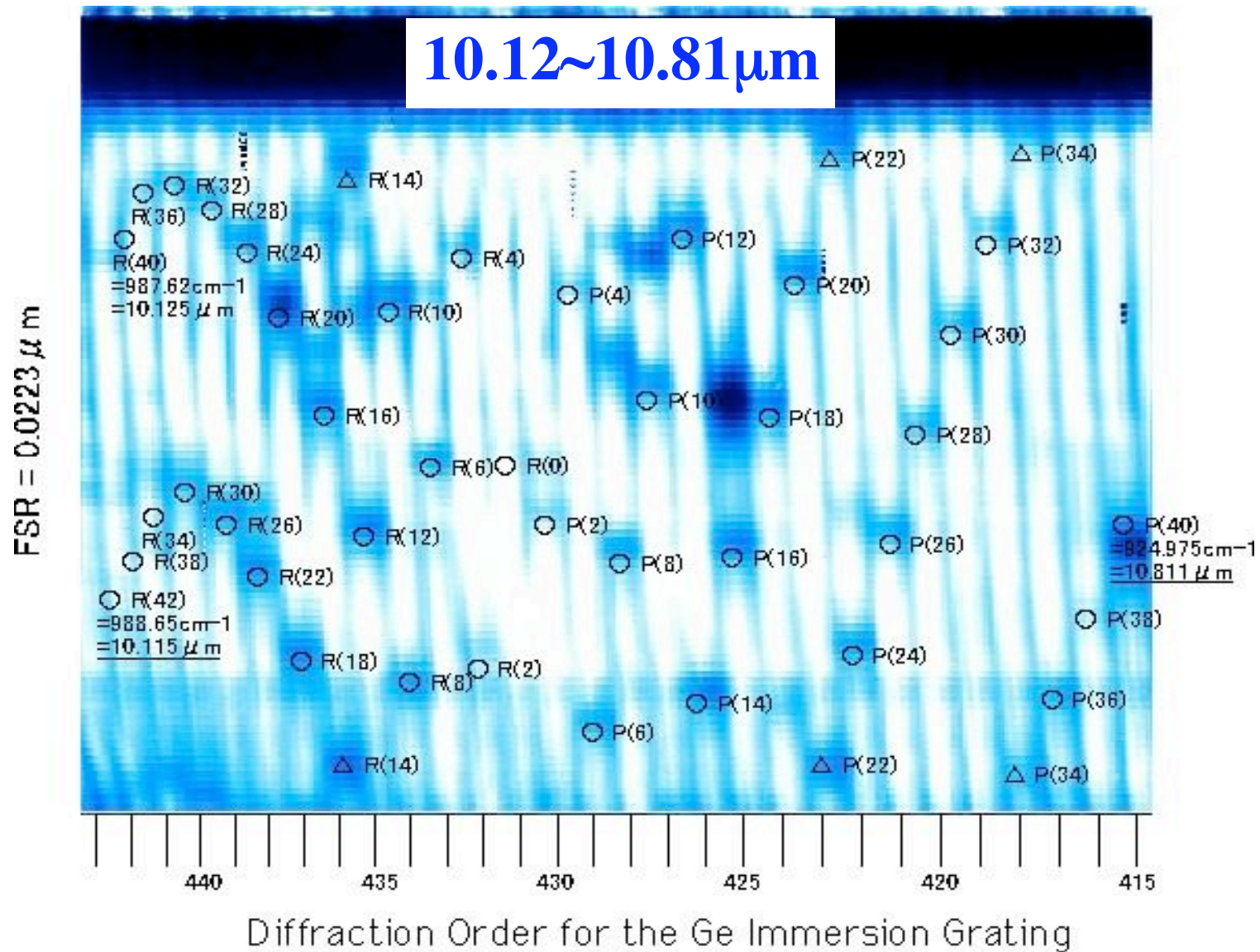
GIGMICS



**KANATA 1.5m telescope**, Higashi-Hiroshima Observatory, Space Science Center Hiroshima Univ., Dec. 2010~Apr. 2011.

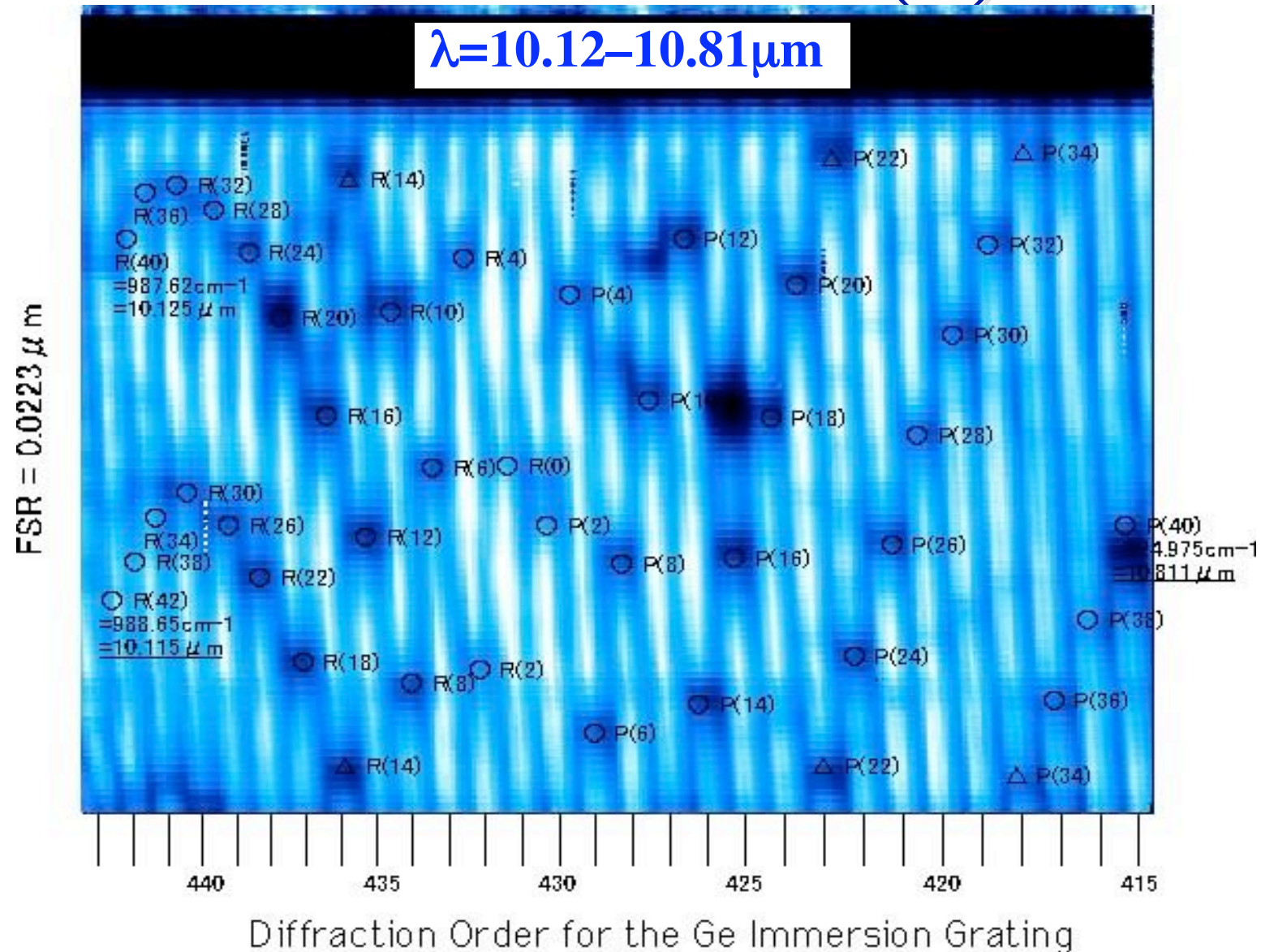
**Targets:** Vib.-rot. Transitions of Methane, Ethane, Ammonia,  $N_2O$ ,  $O_3$ ,  $SO_2$ ,  $H_2O$ ,  $CO_2$ ,  $H_2S$ ,  $NO_x$ , Halogen Oxides, etc., in the **Planets**, **Stellar Atmosphere**, **bright SFRs**, **CSE of late type stars**, and the **upper atmosphere of the Earth**. (Hirahara et. al., SPIE, **8446**, 2012)

# Reference: Earth's atmosphere





# First scientific result (1): Venus

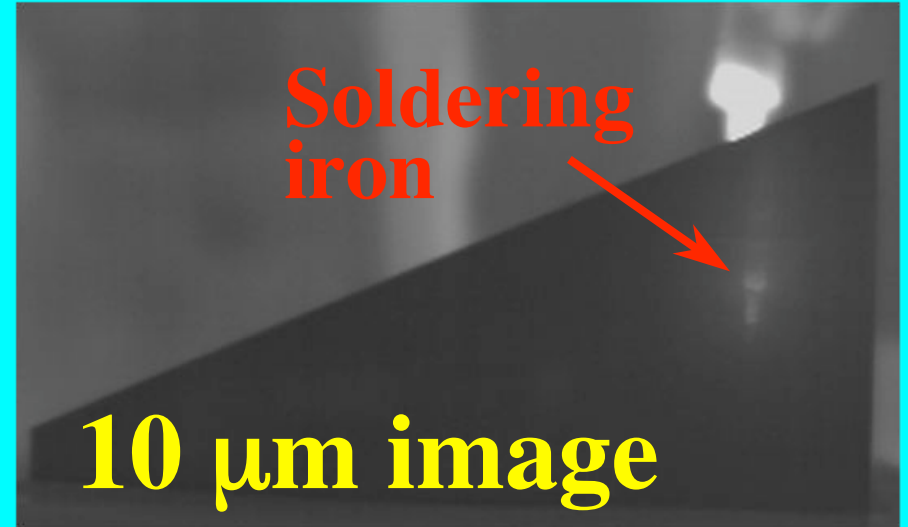


**Absorption lines cannot be identified to the “telluric lines”.**  
**→ CO<sub>2</sub> hot-band & isotopes from Venus.**

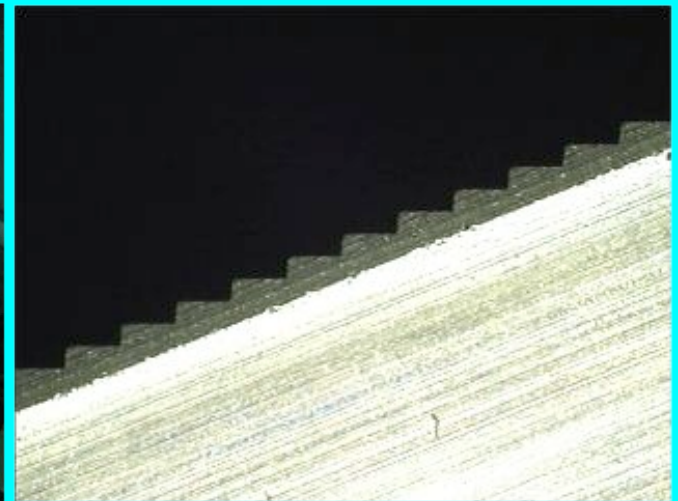




# Trial fabrications of Ge immersion grating for $R \sim 200,000$

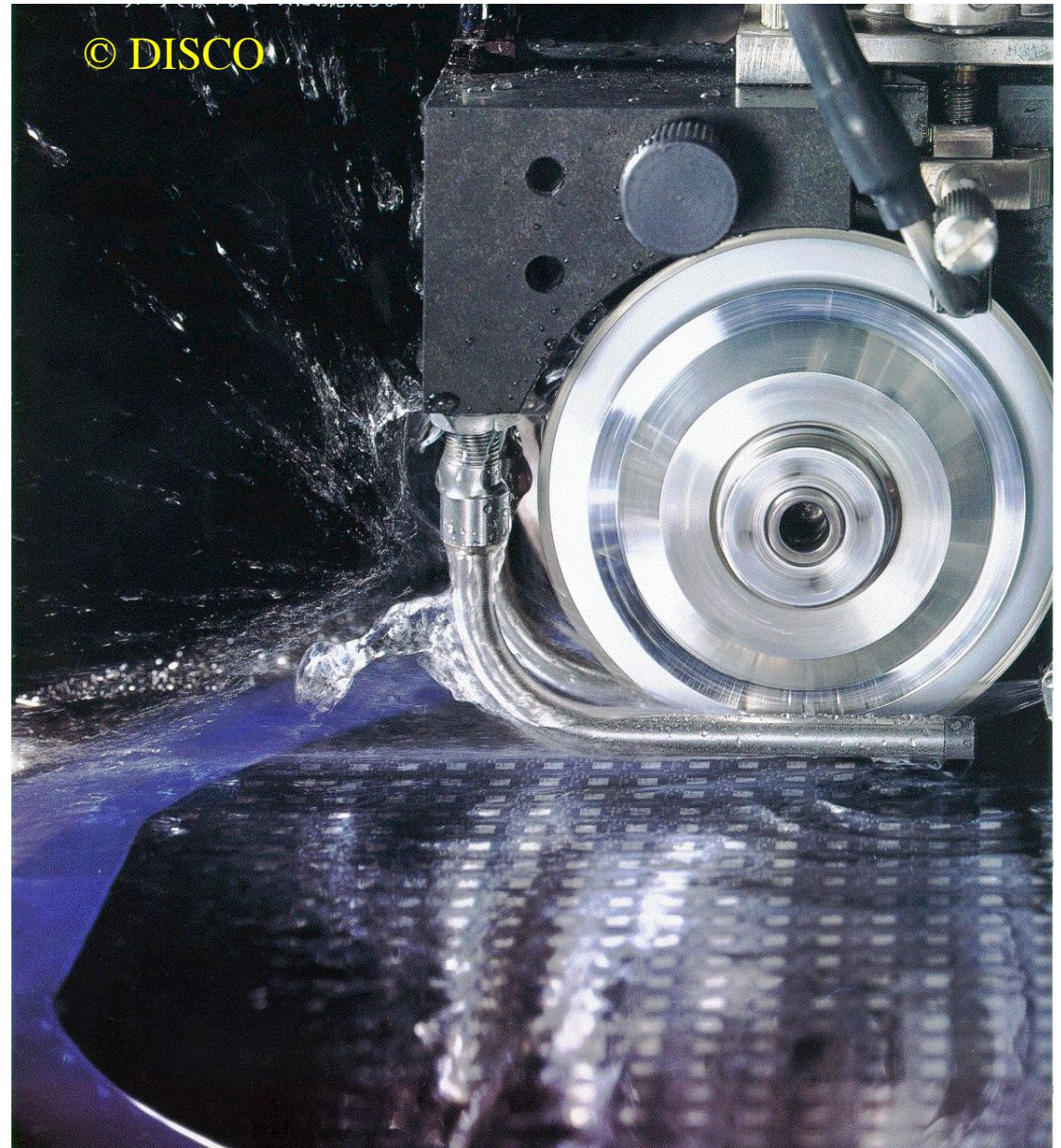
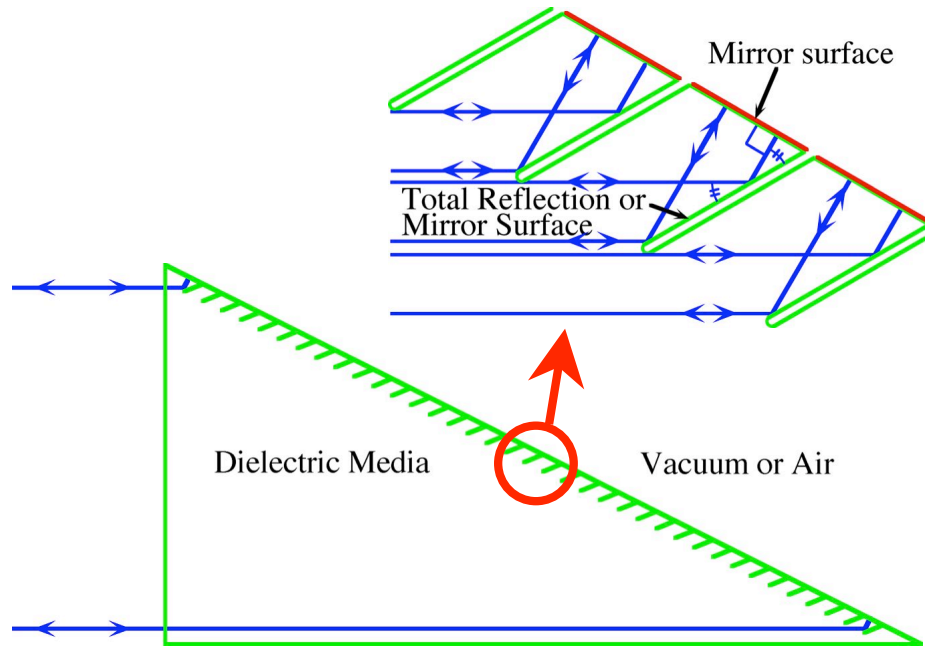


$R \sim 200,000 @ 10 \mu\text{m}$  → Size: 120 x 120 x 270 mm  
→ Fabrication time: several 1,000 hours





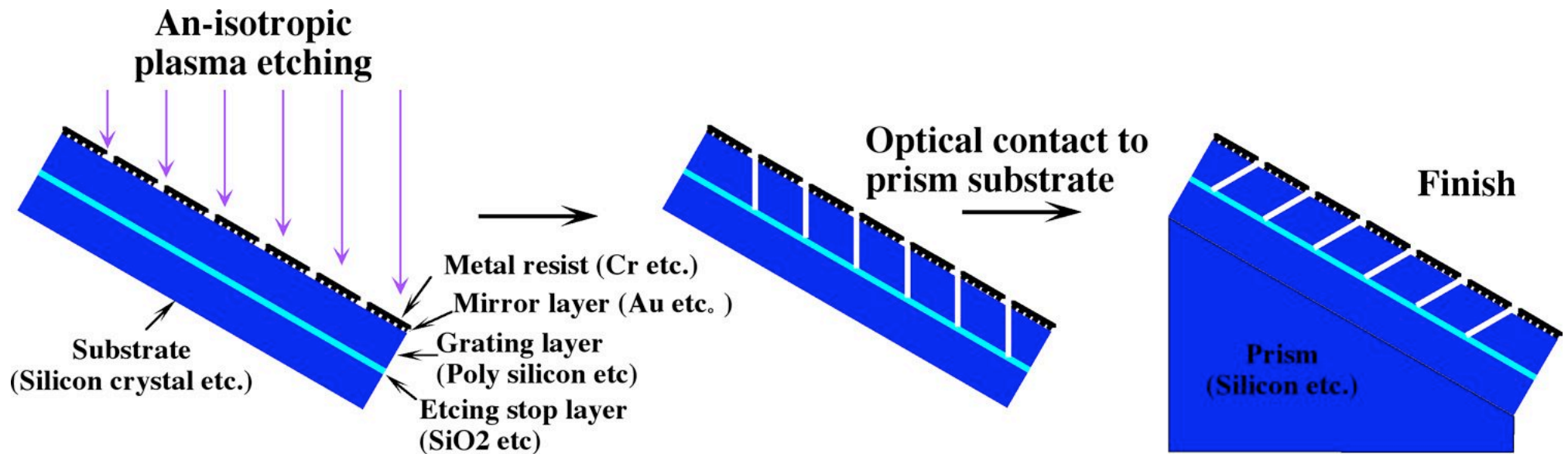
# Novel immersion grating



- **Machining of dicing saw makes smooth surface**
- **Easy tooling.**
- **Fabrication time for grating with 120 x 120 x 270 mm → Several 100 hours?**

(Ebizuka et. al. SPIE, 6273, 2006)

# Fabrication method of novel immersion grating for visible and near IR



(Ebizuka et. al. SPIE 8450, 2012)



# Conclusions

- A VPH grating achieves high dispersion and high efficiency, as well as versatile for moderate dispersion.
- A volume binary grating achieves wide bandwidth with high efficiency and utilizes for an echelle spectrograph.
- A volume birefringence gratings achieve high efficiency up to 100% for non polarized light.
- A novel immersion grating achieves smaller scattering loss and able to reduce fabrication cost.
- Deep reactive ion etching (D-RIE) is promising methods of fabrications for these high dispersion gratings.